

# Efficiently Processing Spatial and Keyword Queries in Indoor Venues

Zhou Shao, Muhammad Aamir Cheema, David Taniar, Hua Lu, and Shiyu Yang

**Abstract**—Due to the growing popularity of indoor location-based services, indoor data management has received significant research attention in the past few years. However, we observe that the existing indexing and query processing techniques for the indoor space do not fully exploit the properties of the indoor space. Consequently, they provide below par performance which makes them unsuitable for large indoor venues with high query workloads. In this paper, we first propose two novel indexes called Indoor Partitioning Tree (IP-Tree) and Vivid IP-Tree (VIP-Tree) that are carefully designed by utilizing the properties of indoor venues. The proposed indexes are lightweight, have small pre-processing cost and provide near-optimal performance for shortest distance and shortest path queries. We are also the first to study spatial keyword queries in indoor venues. We propose a novel data structure called Keyword Partitioning Tree (KP-Tree) that indexes objects in an indoor partition. We propose an efficient algorithm based on VIP-Tree and KP-Trees to efficiently answer spatial keyword queries. Our extensive experimental study on real and synthetic data sets demonstrates that our proposed indexes outperform the existing solutions by several orders of magnitude.

**Index Terms**—Indoor query processing, Indoor index, Spatial keyword query

## 1 INTRODUCTION

### 1.1 Motivation

Due to the recent breakthroughs in indoor positioning technologies (see [17], and its references), and the widespread use of smart phones, indoor location-based services (LBSs) are becoming increasingly popular [5]. Indoor LBSs can be very valuable in many different domains such as emergency services, health care, location-based marketing, asset management, and in-store navigation, to name a few. In such indoor LBSs and many others, indoor distances play a critical role in improving the service quality. For example, in an emergency, an indoor LBS can guide people to the nearby exit doors. Similarly, a passenger may want to find the shortest path to the boarding gate in an airport, a disabled person may issue a query to find accessible toilets within 100 meters in a shopping mall, or a student may issue a query to find the nearest photocopier in a university campus.

There is a huge demand for efficient and scalable spatial query-processing systems for indoor location data. Unfortunately, as we explain next, the outdoor techniques provide below par performance for indoor spaces and the existing indoor techniques fail to fully utilize the unique properties of indoor venues resulting in poor performance.

### 1.2 Limitations of Existing Techniques

#### 1.2.1 Outdoor techniques

Techniques for outdoor LBSs cannot be directly applied for indoor LBSs due to the specific characteristics in indoor settings. Referring to the aforementioned examples, briefly speaking, we need to not only represent the spaces (airport, shopping center) in proper data model but also manage all the indoor features (lifts, escalators, stairs) and locations of interest (boarding gates, exit

doors, and shops) such that search can be conducted efficiently. Indoor spaces are characterized by indoor entities such as walls, doors, rooms, hallways, etc. Such entities constrain as well as enable indoor movements, resulting in unique indoor topologies.

One possible approach for indoor data management is to first model the indoor space to a graph using existing indoor data modelling techniques [2], [19] and then applying existing graph algorithms to process spatial queries on the indoor graph. However, as we demonstrate in our experimental study, this approach lacks efficiency and scalability – the state-of-the-art outdoor techniques ROAD [16] and G-tree [37] may take more than one second to answer a single shortest distance query. This is mainly because the existing outdoor techniques rely on the properties of road networks and fail to exploit the properties specific to indoor space. For example, the indoor graphs have a much higher average out-degree (up to 400) as compared to the road networks that have average out-degree of 2 to 4. Consequently, the size of the indoor graphs is much larger relative to the actual area it covers. For example, we use the buildings in Clayton campus of Monash University as a data set in our experiments and the corresponding indoor graph has around 6.7 million edges and around 41,000 vertices. Compared to this, the road network corresponding to California and Nevada states consists of around 4.6 million edges and 1.9 million vertices [4]. Our experimental study shows that these outdoor techniques take around 1 second to answer a single indoor shortest distance query, in contrast, our specialized techniques that carefully exploit the properties of indoor space can process the same query in around 10 microseconds.

#### 1.2.2 Indoor techniques

Adopting the idea of mapping the indoor space to a graph and applying graph algorithms, existing techniques use door-to-door graph [33] and/or accessibility base graph [19] to process various indoor spatial queries.

**Door-to-door (D2D) graph [33].** In a D2D graph, each door in the indoor space is represented as a graph vertex. A weighted edge is created between two doors  $d_i$  and  $d_j$  if they are connected to the same indoor partition (e.g., room, hallway), where the edge weight is the indoor distance between the two doors. Fig. 1 shows an example of an indoor space that contains 17 indoor partitions

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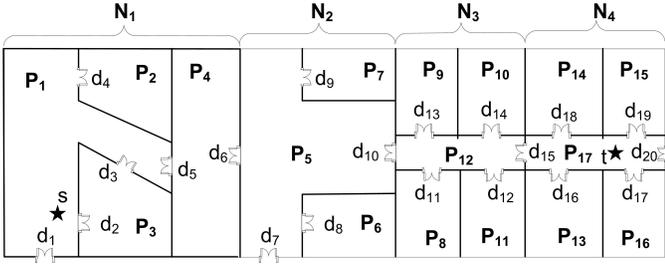


Fig. 1: An indoor venue containing 17 partitions and 20 doors

( $P_1$  to  $P_{17}$ ) and 20 doors ( $d_1$  to  $d_{20}$ ). The corresponding D2D graph is shown in Fig. 2a where edge weights are not displayed for simplicity. The doors  $d_1$  to  $d_5$  are all connected to each other by edges because they are associated to the same partition  $P_1$ .

**Accessibility base (AB) graph [19].** In an AB graph, each indoor partition is mapped to a graph vertex, and each door is represented as an edge between the two partitions it connects. Fig. 2b shows the AB graph for the indoor space shown in Fig. 1. Since partitions  $P_1$  and  $P_2$  are connected by door  $d_4$ , an edge labeled  $d_4$  is created between  $P_1$  and  $P_2$  in the AB graph. Partitions  $P_1$  and  $P_3$  are connected by two doors  $d_2$  and  $d_3$ , and thus two labeled edges are created between  $P_1$  and  $P_3$ . Although an AB graph captures the connectivity information, it does not support indoor distances.

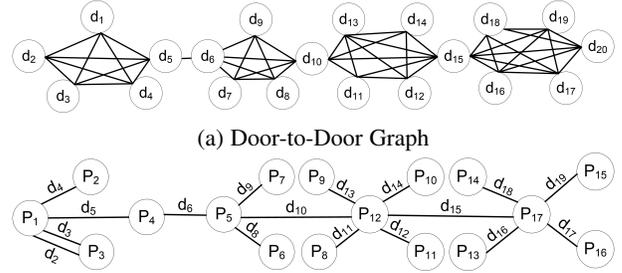
**Distance matrix (DM) [19].** A distance matrix can also be used to facilitate shortest distance/path queries. A distance matrix stores the distances between all pairs of doors in the indoor space. Although this allows optimally retrieving the distance between any two doors (i.e., in  $O(1)$ ), it requires huge pre-processing cost and quadratic storage which makes it unattractive for large indoor venues. Furthermore, the distance matrix cannot be used to answer  $k$  nearest neighbors ( $k$ NN) and range queries without utilizing other structures such as AB graph.

The existing techniques apply graph algorithms on a D2D graph and/or AB graph to answer spatial queries. For instance, the state-of-the-art indoor spatial query processing technique [19] computes the shortest distance between a source point  $s$  and a target point  $t$  (shown as stars in Fig. 1) using Dijkstra’s like expansion on a D2D graph or AB-graph. Although several optimizations are employed in [19], these techniques essentially rely on a Dijkstra’s like expansion over the entire graph which is computationally quite expensive. Consequently, the state-of-the-art indoor query processing takes more than 100 seconds to answer a single shortest path query on the Clayton campus data set used in our experiments (whereas our proposed technique processes the same query in around 10 microseconds).

### 1.3 Contributions

To handle fundamental spatial queries, we propose two novel indoor indexes called Indoor Partitioning tree (IP-Tree) and Vivid IP-Tree (VIP-Tree) that optimize the indexing by exploiting the properties of indoor spaces. The basic observation is that the shortest path from a point in one indoor region to a point in another region passes through a small subset of doors (called access doors). For example, the shortest path between two points located on different floors of a building must pass one of the stairs/lifts connecting the two floors. The proposed indexes take into account this observation in their design and have the following attractive features.

**Near-optimal efficiency.** Our experimental study on real and synthetic data sets demonstrates that IP-Tree and VIP-Tree outperform the state-of-the-art techniques for indoor space [19] and road networks [16], [37] by several orders of magnitude. In comparison



(b) Accessibility Base Graph

Fig. 2: Indexing Indoor Space

with the distance matrix, that allows constant time retrieval of distance between any two doors at the cost of expensive pre-computing and quadratic storage, our VIP-Tree also achieves comparable (and near-optimal) performance for shortest distance and path queries.

**Low indexing cost.** VIP-Tree and IP-Tree have small construction cost and low storage requirement. For example, for the largest data set used in our experiments that consists of around 83,000 rooms (around 13.4 million edges), VIP-Tree and IP-Tree consume around 600 MB and can be constructed in less than 2 minutes. In contrast, it took almost 14 hours to construct the distance matrix for a much smaller building consisting of around 2,700 rooms (around 110,000 edges).

**Low theoretical complexities.** Our proposed indexes do not only provide practical efficiency but also have low storage and computational complexities. Table 1 compares the storage complexity and shortest distance/path computation cost of our proposed approach with the distance matrix which has near-optimal computational complexity. For the data sets used in our experiments, the average values of  $\rho$  and  $f$  are less than 4. For our proposed trees,  $M$  is the number of leaf nodes which is bounded by the number of doors  $D$ . Note that VIP-Tree has a significantly low storage cost compared to the distance matrix but has the same computational complexity. A detailed theoretical analysis is provided in the conference version [25] of this paper.

TABLE 1: Comparison of computational complexities.  $\rho$ : average # of access doors,  $f$ : average number of children in a node,  $M$ : # of leaf nodes,  $D$ : # of doors,  $w$ : # of edges on shortest path

|          | Storage                             | Shortest Distance    | Shortest Path              |
|----------|-------------------------------------|----------------------|----------------------------|
| IP-Tree  | $O(\rho^2 f^2 M + \rho D)$          | $O(\rho^2 \log_f M)$ | $O((\rho^2 + w) \log_f M)$ |
| VIP-Tree | $O(\rho^2 f^2 M + \rho D \log_f M)$ | $O(\rho^2)$          | $O(\rho^2 + w)$            |
| DM       | $O(D^2)$                            | $O(\rho^2)$          | $O(\rho^2 + w)$            |

**High adaptability.** Similar to popular outdoor indexes (such as R-tree, Quad-tree, G-tree), our proposed indexes follow a branch-and-bound structure that can be easily adapted to answer various other indoor queries such as spatial keyword queries. This paper is an extended version of our earlier paper [25] and, in this paper, we show that the VIP-Tree can be easily extended to answer spatial keyword queries on objects in indoor venues that are associated with textual information. We also propose a novel data structure called Keyword Partitioning Tree (KP-Tree) to effectively index the objects in a single indoor partition (e.g., products in a supermarket, medicines in a pharmacy etc.). Our experimental study on real world data sets demonstrates that the technique that utilizes VIP-Tree and KP-Trees significantly outperforms the competitors.

The rest of the paper is organized as follows. In Section 2, we present the related work. Our proposed indexes IP-Tree and VIP-Tree are discussed in Section 3. Section 4 presents

the detailed algorithms for indoor spatial queries based on the proposed indexes. Section 5 presents the details of our proposed Keyword Partitioning Tree and spatial keyword query processing algorithm. A detailed experimental evaluation is presented in Section 6 followed by conclusions in Section 7.

## 2 RELATED WORK

**Indoor Data Modelling** Data modelling for indoor space is fundamental for querying indoor space. In [15], a 3D model is proposed for indoor space but it fails to support indoor distance computations. CityGML [1] and IndoorGML [2] are XML based methods to model and exchange the indoor space data. As stated in Section 1, the distance-aware model [19] introduces an extended graph based on an accessibility base graph and D2D graph that enables indoor distance computations between two indoor positions.

**Spatial Queries in Indoor Space.** Several indoor spatial queries such as shortest distance queries, shortest path queries,  $k$ NN queries and range queries have been studied under various settings [20], [31], [32], [35]. The most notable techniques [19], [33] have already been discussed in Section 1. Existing research also studies various other indoor queries such as trip planning queries and multi-criteria route planning queries [23], [24]. Indexing and querying moving indoor objects have also been studied in the past [11], [30].

**Spatial Queries in Outdoor Space.** Query processing in Euclidean space and road networks [6], [10], [26], [34] is very well studied. Since an indoor space can be converted into a D2D graph, various techniques [27], [36], [37] in spatial road networks can also be applied. G-tree [36], [37] is the state-of-the-art technique for processing a variety of spatial queries on road networks. Although our proposed indexes, IP-Tree and VIP-Tree, are inspired by G-tree, there are some fundamental differences. Specifically, G-tree uses an existing multilevel graph partitioning algorithm [14] for graph decomposition whereas we design a new algorithm that carefully exploits the properties of the indoor space to minimize the total number of *access doors*. Also, the smaller number of access doors in our nodes allows us to use materialization in the VIP-tree which proves to be a much more efficient strategy but is not feasible for G-tree. Furthermore, our algorithms to process shortest path queries, range queries,  $k$ NN queries and spatial keyword queries are also entirely different.

**Spatial Keyword Queries in Outdoor Space.** Spatial keyword queries have been extensively studied in Euclidean space [18], [22], [29]. For example, the Inverted R-tree [38] is proposed that creates, for each keyword  $t$ , an R-tree based on the objects that contains the keyword  $t$ . Inverted R-trees are efficient when the number of query keywords is small because the query needs to process only a few R-trees. Information retrieval R-tree (IR<sup>2</sup>-tree) [9] aims to address this problem by utilizing *signatures* that summarize the keywords contained in the descendant entries of a node. Information R-tree (IR-tree) [8], [28] utilizes inverted files for each node that maintains the keywords information in the node. WIR-tree [29] is similar to IR-tree, but it partitions the objects according to keyword frequencies instead of spatial locations. A detailed experimental evaluation comparing different spatial keyword approaches is presented in [7].

Various spatial keyword queries have also been studied on road networks [12], [18], [21], [36]. For example, DESKS [18] considers the direction constraints for keyword queries, while [12] is designed for large graphs. G-tree [36] has also been utilized to answer spatial keyword queries. Recently, in [3], the authors propose a framework, K-SPIN, to answer a variety of spatial

keyword queries on road networks. To the best of our knowledge, we are the first to focus on spatial keyword queries in indoor space.

## 3 INDEXING INDOOR SPACE

First, we define some terminology and the data model used in this paper. An indoor partition that has only one door is called a *no-through* partition (e.g., partitions  $P_2$ ,  $P_9$  and  $P_{10}$  in Fig. 1) because no shortest path can pass through this partition. A partition which has more than  $\gamma$  doors is called a *hallway* partition.  $\gamma$  is a system parameter and is a small value (e.g., in this paper, we choose  $\gamma = 4$ ). In Fig. 1, partitions  $P_1$ ,  $P_5$ ,  $P_{12}$  and  $P_{17}$  are the hallway partitions. All other partitions are called general partitions. A special indoor entity such as a staircase or an escalator connecting two floors is considered as a general partition with two doors at its connecting floors. Similarly, a lift connecting  $n$  floors is divided into  $n - 1$  general partitions where each partition connects two consecutive floors.

Similar to existing work, we use a door-to-door graph [33] to model the indoor space. The distances between the doors can be set appropriately, e.g., set to zero for a lift/escalator if the distance corresponds to the *walking* distance or to a non-zero value if the distance is the travel time. We remark that such indoor data models can capture all spatial features of indoor space. If more details of geometric features are required (e.g., texture, color, shape of indoor objects), then the CityGML [1] data objects can be embedded in each partition. Although our techniques can be immediately applied for directional entities (e.g., doors/lifts/stairs), for the sake of simplicity, we assume that all such entities are bidirectional.

### 3.1 Indoor Partitioning Tree (IP-Tree)

#### 3.1.1 Overview

The basic idea is to combine adjacent indoor partitions (e.g., rooms, hallways, stairs) to form leaf nodes and then iteratively combining adjacent leaf nodes until all nodes are combined into a single root node. Fig. 3 shows an IP-Tree of the indoor venue shown in Fig. 1 where the indoor space is converted into four leaf nodes ( $N_1$  to  $N_4$ ). Each leaf node consists of several indoor partitions. Specifically,  $N_1 = \{P_1, \dots, P_4\}$ ,  $N_2 = \{P_5, \dots, P_7\}$ ,  $N_3 = \{P_8, \dots, P_{12}\}$ , and  $N_4 = \{P_{13}, \dots, P_{17}\}$ . The leaf nodes are iteratively merged until root node is formed, e.g.,  $N_1$  and  $N_2$  are merged to form  $N_5$ .

**Definition 3.1. Access door.** A door  $d$  is called an access door of a node  $N$  if  $d$  connects it to the space outside of  $N$  (i.e., one can enter or leave  $N$  via  $d$ ). The set of access doors of a node  $N$  are denoted as  $AD(N)$ .

In Fig. 1, the access doors of  $N_1$  are  $d_1$  and  $d_6$ . IP-Tree stores the access doors for each node in the tree. Fig. 3 shows the access doors of each node in the boxes below the nodes, e.g.,  $AD(N_1) = \{d_1, d_6\}$  and  $AD(N_5) = \{d_1, d_7, d_{10}\}$ . Note that the shortest path from/to a point  $s$  in  $N_1$  to/from a point  $t$  outside of  $N_1$  must pass through one of its access doors  $d_1$  and  $d_6$ .

To efficiently compute shortest distance/path between indoor locations, the IP-Tree stores distance matrices for leaf nodes and non-leaf nodes. Below, we provide the details.

**Distance matrices for leaf nodes.** For each leaf node  $N$ , the distance matrix stores distances between every door  $d_i \in N$  to every access door  $d_j \in AD(N)$ . Fig. 3 shows an example of the distance matrix for the node  $N_1$  where the distances between every door  $d_i \in N_1$  (i.e.,  $d_1$  to  $d_6$ ) and every access door  $d_j \in AD(N_1)$  (i.e.,  $d_1$  and  $d_6$ ) are stored.

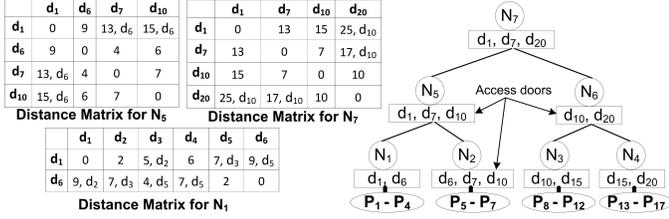


Fig. 3: Indoor Partitioning Tree

To support the shortest *path* queries, the distance matrix also stores some additional information. Specifically, for a leaf node  $N$ , in addition to the shortest distance between  $d_i \in N$  and  $d_j \in AD(N)$ , the distance matrix also stores a door  $d_k$  on the shortest path from  $d_i$  to  $d_j$ .  $d_k$  is called the next-hop door for the entry corresponding to  $d_i$  and  $d_j$ . Specifically, if the shortest path from  $d_i$  to  $d_j$  lies entirely inside the node  $N$  then  $d_k$  corresponds to the first door on the shortest path from  $d_i$  to  $d_j$ . In Fig. 1, the next-hop door on the shortest path from  $d_1$  to  $d_6$  is  $d_2$ . Therefore, in the distance matrix of  $N_1$  (see Fig. 3),  $d_2$  is the next-hop door for the entry of  $d_1$  in the row corresponding to  $d_6$ . Similarly,  $d_3$  is the next-hop door for the entry corresponding to  $d_2$  and  $d_6$  because  $d_3$  is the first door on the shortest path from  $d_2$  to  $d_6$ .

If the shortest path from  $d_i$  to  $d_j$  passes outside of  $N$  then  $d_k$  corresponds to the first door on the shortest path that is an access door of at least one leaf node in the tree. Although this scenario is not common (and Fig. 1 does not have an example of it), this is critical to efficiently and correctly retrieve the shortest path between two points (see [25] for details). Finally, if the shortest path between  $d_i$  and  $d_j$  does not involve any other door (e.g.,  $d_5$  to  $d_6$ ), the next-hop door is set as NULL. For better readability, the matrices in Fig. 3 show only non-null values.

**Distance matrices for non-leaf nodes.** Consider a non-leaf node  $N$  that has  $f$  children  $N_1, N_2, \dots, N_f$ . The distance matrix of  $N$  stores distances between every access door of its children, i.e., it stores the distances between all doors in  $\cup_{i=1}^f AD(N_i)$ . For example, in Fig. 3, the distance matrix of the node  $N_7$  stores the distances between  $AD(N_5)$  and  $AD(N_6)$ , i.e.,  $d_1, d_7, d_{10}$  and  $d_{20}$ . Furthermore, for each entry  $d_i$  and  $d_j$  in the distance matrix of  $N$ , we also store the first door  $d_k \in \cup_{i=1}^f AD(N_i)$  on the shortest path from  $d_i$  to  $d_j$  (called next-hop door as stated earlier). Note that  $d_k$  in this case is an access door of the children of  $N$  and is not any arbitrary door.

In Fig. 3, the entry in the distance matrix of  $N_7$  corresponding to  $d_1$  and  $d_{20}$  stores  $d_{10}$ . Note that the first door on the shortest path from  $d_1$  to  $d_{20}$  ( $d_1 \rightarrow d_2 \rightarrow d_3 \rightarrow d_5 \rightarrow d_6 \rightarrow d_{10} \rightarrow d_{15} \rightarrow d_{20}$ ) is  $d_2$  but we maintain  $d_{10}$  in the distance matrix because it is the first door among the access doors of the children of  $N_7$  that is on the shortest path from  $d_1$  to  $d_{20}$ . The entry corresponding to  $d_1$  and  $d_7$  has NULL because the shortest path from  $d_1$  to  $d_7$  does not contain any access door of the children of  $N_7$ .

### 3.1.2 Constructing IP-Tree

The IP-tree is constructed in a bottom-up manner in four steps: 1) the indoor partitions are combined to create leaf nodes (also called level 1 nodes); 2) the nodes at each level  $l$  are merged to form the nodes at level  $l + 1$ . This is iteratively repeated until we only have one node (i.e., root node) at the next level; 3) the distance matrices for leaf nodes are constructed; 4) the distance matrices of non-leaf nodes are created. Next, we describe the details of each step.

**1. Creating leaf nodes.** Two partitions are called *adjacent* partitions if they have at least one common door (e.g.,  $P_1$  and  $P_2$ ). We iteratively merge adjacent partitions and construct the leaf nodes by considering the following two simple rules.

i. If a general partition has more than one adjacent hallways, it is merged with the hallway with greater number of common doors with the general partition. Ties are broken by preferring the hallway that is on the same floor. If the general partition occupies more than one floors (e.g., it is a staircase) or if both hallways are on the same floor, the tie is broken arbitrarily.

ii. Merging of a partition is not allowed if it will result in a leaf node having more than one hallways. This is because the shortest distance/path queries between points in different hallways are more expensive. This rule ensures that all hallways are in different leaf nodes, which allows us to fully leverage the tree structure to efficiently process the queries. The algorithm terminates when no further merging is possible, i.e., every possible merging will result in the violation of this rule.

**Example 3.1.** In Fig. 1, the partitions  $P_2$  and  $P_3$  are combined with the hallway partition  $P_1$ . The partition  $P_4$  could be combined with either  $P_5$  or  $P_1$  because both  $P_1$  and  $P_5$  have exactly 1 common door with  $P_4$  and are on the same floor. We assume that it is combined with  $P_1$ . Thus,  $P_1$  to  $P_4$  are combined to form the leaf node  $N_1$ . The hallway  $P_5$  cannot be included in the leaf node  $N_1$  because doing so would violate the rule ii. The partitions  $P_6$  and  $P_7$  are combined with  $P_5$  to form a leaf node  $N_2$ . Similarly,  $P_8$  to  $P_{12}$  are combined to form the node  $N_3$  and  $P_{13}$  to  $P_{17}$  are combined to construct the leaf node  $N_4$ . The algorithm stops because no further merging is possible without violating rule ii.

**2. Merging the nodes of the IP-Tree.** Let  $t$  be the minimum degree of the IP-Tree denoting the minimum number of children in each non-root node. Algorithm 1 shows the details of merging the nodes at level  $l$  (denoted as  $\mathcal{N}_l$ ) to create the nodes at level  $l + 1$  (denoted as  $\mathcal{N}_{l+1}$ ) such that each node has at least  $t$  children. Algorithm 1 is iteratively called until  $\mathcal{N}_{l+1}$  contains at most  $t$  nodes in which case all these nodes are merged to form the root node. Below, are the details of the algorithm.

We define degree of a node  $N_i$  at level  $l + 1$  to be the number of level  $l$  nodes contained in  $N_i$ . A min-heap  $H$  is initialized by inserting all nodes in  $\mathcal{N}_l$  and the key for each node is set to its degree initialized to one because no level  $l$  nodes are merged yet (line 1). If two nodes have the same degree, the heap prefers the node which has a smaller number of adjacent nodes. This is because some nodes can only be merged with exactly one other node and such nodes should be given preference in merging, e.g., in Fig. 1 and Fig. 3,  $N_1$  is merged with  $N_2$  and  $N_4$  is merged with  $N_3$  because both  $N_1$  and  $N_4$  can only be merged with exactly one other node.

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#### Algorithm 1: createNextLevel( $\mathcal{N}_l, t$ )

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**Input** :  $\mathcal{N}_l$ : nodes at current level  $l$ ,  $t$ : minimum degree

**Output** :  $\mathcal{N}_{l+1}$ : nodes at the next level  $l + 1$

- 1 insert each  $N_i \in \mathcal{N}_l$  in a min-heap  $H$  with key  $N_i.degree = 1$ ;
  - 2 **while**  $H.top().degree < t$  **do**
  - 3     deheap a node  $N_i$  from  $H$ ;
  - 4      $N_j \leftarrow$  node with highest # of common doors with  $N_i$ ;
  - 5     remove  $N_j$  from  $H$  and merge  $N_i$  and  $N_j$  to form  $N_k$ ;
  - 6     insert  $N_k$  in  $H$  with key  $N_i.degree + N_j.degree$ ;
  - 7 move nodes from  $H$  to  $\mathcal{N}_{l+1}$ ;
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The nodes are iteratively de-heaped from the heap and merged with one of the adjacent nodes with a goal to minimize the total number of access doors of the nodes at the parent level. Let  $|AD(N_i)|$  denote the number of access doors of a node  $N_i$  and  $|AD(N_i) \cap AD(N_j)|$  denote the number of *common* access doors in nodes  $N_i$  and  $N_j$ . If the two nodes  $N_i$  and  $N_j$  are merged into a parent node  $N$ , the number of access doors in the parent node

$N$  is  $|AD(N_i)| + |AD(N_j)| - 2 \times |AD(N_i) \cap AD(N_j)|$ . Thus, the nodes that have a greater number of common access doors are given higher priority to be merged together (line 4). After a node  $N_i$  and  $N_j$  are merged to form a node  $N_k$ , the node  $N_k$  is inserted in the heap (line 6). The algorithm stops when the top node in the heap has a degree at least  $t$  (line 2). This implies that every node in the heap contains at least  $t$  children.

**3. Constructing distance matrices for leaf nodes.** Recall that the distance matrix for a leaf node  $N$  stores the distance and the next-hop door on the shortest path between every door  $d_i \in N$  to every access door  $d_j \in AD(N)$ . We compute these distances and the next-hop doors using Dijkstra’s search on the D2D graph. Specifically, for each access door  $d_j$  of a leaf node  $N$ , we issue a Dijkstra’s search until all doors in the node  $N$  are settled. Since the doors of the leaf nodes are close to each other, this Dijkstra’s search is quite cheap as only the nearby nodes in the D2D graph are visited.

**Example 3.2.** To create the distance matrix of leaf node  $N_1$  that contains doors  $d_1$  to  $d_6$ , we first issue a Dijkstra’s search starting at  $d_1$  on the graph shown in Fig. 2a and expand the search until all doors  $d_1$  to  $d_6$  are settled. The distances and next-hop doors are populated in the distance matrix row corresponding to the door  $d_1$ . The same process is repeated for the other access door  $d_6$ .

**4. Constructing distance matrices for non-leaf nodes.** Let leaf nodes be on level 1 of the tree (the lowest level) and root node be at the highest level of the tree. We construct the distance matrices of the nodes in a bottom-up fashion. We construct the distance matrices of nodes at level  $l > 1$  of the IP-Tree using a graph called *level- $l$  graph* denoted as  $\mathcal{G}_l$ .

*Level- $l$  graph ( $\mathcal{G}_l$ ).* The vertices of  $\mathcal{G}_l$  correspond to the access doors of the nodes at  $(l - 1)$ -th level of the tree. An edge between two doors  $d_i$  and  $d_j$  is created in  $\mathcal{G}_l$  if both  $d_i$  and  $d_j$  are the access doors of the same node at  $(l - 1)$ -th level. The weight of the edge is  $dist(d_i, d_j)$  which has already been computed when the distance matrices of  $(l - 1)$ -th level are computed. Note that  $\mathcal{G}_l$  is a connected graph because, at every level  $l$ , all nodes in the indoor space are connected through common access doors.

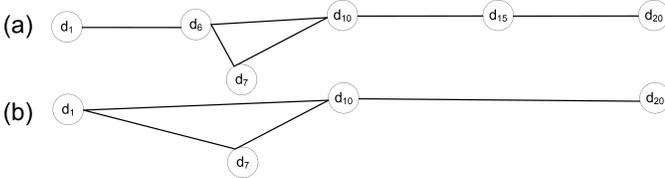


Fig. 4: (a)  $\mathcal{G}_2$ : level-2 graph; (b)  $\mathcal{G}_3$ : level-3 graph

Fig. 4 shows level-2 and level-3 graphs for our running example. To construct the distance matrices of level 2 nodes of the tree shown in Fig. 3, we use the graph in Fig. 4(a) where the vertices correspond to the access doors of the nodes at level 1 (i.e., leaf nodes) of the tree (e.g.,  $d_1, d_6, d_7, d_{10}, d_{15}, d_{20}$ ). In  $\mathcal{G}_2$  shown in Fig. 4(a), edges are created between  $d_6, d_7$  and  $d_{10}$  because these are the access doors in the same leaf node (see Fig. 3). Similarly, to construct the distance matrices of level 3 nodes, we use the graph shown in Fig. 4(b) where the vertices of the graph are the access doors of level 2 nodes.

The distance matrix of a node  $N$  at level  $l$  of the tree is then computed using a Dijkstra’s like expansion on  $\mathcal{G}_l$  for each door  $d_i$  until all other doors  $d_j$  in  $N$  have been reached. This operation is quite efficient because i) the graph is significantly smaller than the original D2D graph and ii) the Dijkstra’s expansion is not expensive because the relevant doors are close to each other in  $\mathcal{G}_l$ .

**Example 3.3.** To construct the distance matrix of node  $N_5$ , the graph shown in Fig. 4(a) is used. The distance matrix for  $N_5$  contains the entries for doors  $d_1, d_5, d_7$  and  $d_{10}$ . To populate the column corresponding to  $d_1$ , a Dijkstra’s like expansion is conducted at  $d_1$  on the graph shown in Fig. 4(a) until all other doors (i.e.,  $d_5, d_7$  and  $d_{10}$ ) are reached. The entries for other doors are populated in the same way.

In addition to IP-tree, our algorithms also require the D2D graph to compute the shortest distance/path between two points located in the same leaf node of the IP-tree. The total space complexity of the IP-Tree is  $O(\rho^2 f^2 M + \rho D)$  where  $D$  is the total number of doors in the indoor space,  $\rho$  is the average number of access doors in a node,  $f$  is the average number of children for a non-leaf node and  $M$  is the total number of leaf nodes which is bounded by  $P$  (the total number of partitions in the indoor space). Our experiments on three real data sets demonstrate that  $f$  and  $\rho$  are small in practice (less than 4 for all real data sets). For a detailed theoretical analysis, please see [25].

### 3.2 Vivid IP-Tree (VIP-Tree)

Vivid IP-Tree (VIP-Tree) is very similar to IP-tree except that it stores, for each door  $d_i$  in the indoor space, the following additional information. Let  $N$  be the leaf node that contains the door  $d_i$ . For every door  $d_j$  that is an access door in one of the ancestor nodes of  $N$ , VIP-tree stores  $dist(d_i, d_j)$  as well as the next-hop door  $d_k$  on the shortest path from  $d_i$  to  $d_j$ . This information can be efficiently computed by our efficient shortest distance/path algorithms using IP-tree. As shown in [25], the space complexity of VIP-Tree is  $O(\rho^2 f^2 M + \rho D \log_f M)$ .

## 4 SPATIAL QUERY PROCESSING

Our proposed indexes, IP-Tree and VIP-Tree, can be used to efficiently answer various spatial queries such as shortest distance, shortest path,  $k$ NN and range queries. Since the focus of this extended paper is on processing spatial keyword queries (Section 5), due to the space limitation, this section only presents how to answer shortest distance queries using VIP-Tree. The interested readers are referred to the conference version [25] for the details of other spatial queries mentioned above.

Now, we present our algorithm to compute the indoor shortest distance  $dist(s, t)$  between a source point  $s$  and a target point  $t$  using VIP-Tree. When both  $s$  and  $t$  are located in the same leaf node,  $dist(s, t)$  can be computed using D2D graph (similar to the existing approaches). Since  $s$  and  $t$  are close to each other in D2D graph, the distance computation using Dijkstra’s like expansion is not expensive in this case. Next, we show how to compute  $dist(s, t)$  when both  $s$  and  $t$  are in different leaf nodes.

Let  $Leaf(p)$  denote the leaf node of the VIP-Tree that contains the point  $p$ . The following lemma is a key to compute  $dist(s, t)$  for two arbitrary points  $s$  and  $t$  located in different leaf nodes  $Leaf(s)$  and  $Leaf(t)$ .

**Lemma 4.1.** Let  $LCA(s, t)$  be the lowest common ancestor node of  $Leaf(s)$  and  $Leaf(t)$ . Let  $N_s$  (resp.  $N_t$ ) be the child of  $LCA(s, t)$  which is an ancestor of  $Leaf(s)$  (resp.  $Leaf(t)$ ). The shortest path from  $s$  to  $t$  must path through at least one access door of  $N_s$  and at least one access door of  $N_t$ .

*Proof.* We first show that  $t$  lies outside  $N_s$ . We prove this by contradiction. Assume that  $t$  is inside  $N_s$ . If  $t$  is inside  $N_s$  then  $N_s$  must be a common ancestor of the leaf nodes containing  $s$  and  $t$ . However,  $N_s$  is the child of the *lowest* common ancestor

of  $\text{Leaf}(s)$  and  $\text{Leaf}(t)$ . Hence,  $N_s$  cannot be a common ancestor which contradicts the assumption that  $t$  lies inside  $N_s$ .

Since  $t$  lies outside  $N_s$  and  $s$  lies inside  $N_s$ , the shortest path from  $s$  to  $t$  must pass through an access door of  $N_s$  (by definition of access doors). Following the same reasoning, the shortest path from  $s$  to  $t$  must also pass through an access door of  $N_t$ .  $\square$

Consider the example of Fig. 1 and Fig. 3 where  $s$  is in  $N_1$  and  $t$  is in  $N_4$ ,  $LCA(s, t)$  is the node  $N_7$ ,  $N_s$  is  $N_5$  and  $N_t$  is  $N_6$ . The shortest path between  $s$  to  $t$  must pass through an access door of  $N_5$  and an access door of  $N_6$ , e.g., the shortest path in Fig. 1 passes through  $d_{10}$  which is an access door for both  $N_5$  and  $N_6$ . By using the above lemma,  $\text{dist}(s, t)$  can be computed as follows.

$$\min_{\forall d_i \in AD(N_s), \forall d_j \in AD(N_t)} \text{dist}(s, d_i) + \text{dist}(d_i, d_j) + \text{dist}(d_j, t) \quad (1)$$

Note that  $\text{dist}(d_i, d_j)$  is stored in the distance matrix of  $LCA(s, t)$  because  $N_s$  and  $N_t$  are the child nodes of  $LCA(s, t)$  and  $d_i$  and  $d_j$  are the access doors of  $N_s$  and  $N_t$ , respectively.  $\text{dist}(s, d_i)$  for every  $d_i \in AD(N_s)$  can also be efficiently computed because VIP-tree materializes distances between each door  $d_s$  of the partition containing  $s$  and each  $d_i \in AD(N_s)$ . Similarly,  $\text{dist}(d_j, t)$  can also be efficiently computed using VIP-Tree. We further improve the computation cost of  $\text{dist}(s, d_i)$  and  $\text{dist}(d_j, t)$  using only the *superior doors* [25] of the partitions containing  $s$  and  $t$ . The interested readers are referred to [25] for the details of how superior doors are used to efficiently compute the distances and a detailed theoretical analysis of our shortest distance algorithms.

## 5 SPATIAL KEYWORD QUERY PROCESSING

Spatial keyword queries have been extensively studied in outdoor space. Arguably, the two most popular and well studied spatial keyword queries are Boolean  $k$ NN queries and Top- $k$   $k$ NN queries (see [17] for details). To the best of our knowledge, we are the first to study spatial keyword queries in indoor space. In this paper, we focus on processing *indoor boolean  $k$ NN spatial keyword ( $iBkNN$ -SK) queries*. However, our proposed ideas can be extended to answer a variety of other spatial keyword queries.

### 5.1 Problem Definition

We represent an indoor *spatio-textual* object  $o$  as a spatial point located in an indoor venue and a set of keywords (terms) from a vocabulary  $\mathcal{V}$ , represented by  $o.loc$  and  $o.\mathcal{T}$ , respectively. We often use  $o$  to refer to  $o.loc$  when there is no ambiguity.

**Definition 5.1.**  *$iBkNN$ -SK Query.* Given a set  $\mathcal{O}$  of *spatio-textual* objects, a query object  $q$  where  $q.loc$  is its indoor location and  $q.\mathcal{T}$  is the set of query keywords, an  *$iBkNN$ -SK* is to find  $k$  closest objects to  $q.loc$  that contain every keyword in  $q.\mathcal{T}$ .

**Example 5.1.** Take Fig. 5 as an example that shows a set of *spatio-textual* objects  $\mathcal{O} = \{o_1, o_2, \dots, o_{12}\}$  in an indoor venue. Assume that a user located at query point  $q$  wants to find the nearest object (i.e.,  $k=1$ ) which contains keywords  $t_1$  and  $t_2$  ( $q.\mathcal{T}=\{t_1, t_2\}$ ). The object  $o_5$  is returned as the result because it is the closest object to  $q$  containing both  $t_1$  and  $t_2$ .

### 5.2 Some possible solutions

In this section, we briefly discuss how to extend existing techniques to answer spatial keyword queries in indoor environment.

**Extending Distance-aware Model (DistAw) [19].** The distance aware model is the state-of-the-art algorithm for indoor query

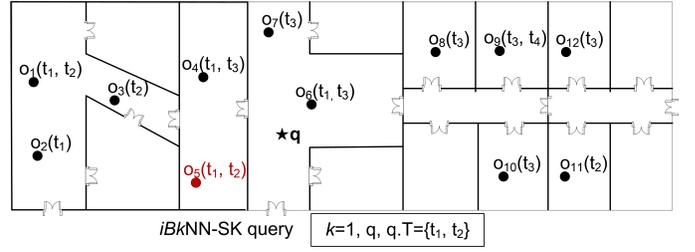


Fig. 5: Example of *i*Boolean- $k$ NN Query

processing. To solve *iBkNN* queries, we embed the keyword information with each indoor partition. Specifically, for each indoor partition containing at least one object, the keyword set of the partition is the union of the keywords of the objects in the partition. During search process, DistAw uses the accessibility base graph and the keyword set for each partition to prune the unnecessary partitions.

**DistAw++.** In DistAw, indoor distances are computed during the expansion process. To improve the efficiency, the authors proposed to utilize a distance matrix that materializes indoor distances between all pairs of doors. This results in significant improvements in query processing time at the cost of quadratic storage requirement. We use DistAw++ to indicate a version of DistAw that uses a distance matrix to accelerate the query processing.

**Extending G-tree [36].** As discussed earlier, to adopt outdoor techniques like G-tree, the indoor space is converted into a D2D graph. G-tree index is then built on this D2D graph. An inverted list is added for each node of the G-tree to efficiently prune unnecessary nodes during the query processing.

**Extending VIP-Tree.** We extend VIP-Tree by adding an inverted list for each node of the VIP-Tree in a way similar to existing spatial keyword indexes for outdoor techniques such as IR-tree [8], [28], i.e., for each VIP-Tree node, we store a set of all keywords in its sub-tree. The modified VIP-Tree is called *inverted VIP-Tree* (IVIP-Tree).

Our experimental results (see Fig. 11 in Section 6.2.2) show that IVIP-tree is up to an order of magnitude better than all other approaches discussed above. This shows the effectiveness and adaptability of VIP-tree for different settings.

Next, we propose another novel data structure called Keyword-Partitioning tree (KP-tree) specifically designed to handle spatio-textual objects in indoor partitions such as products in supermarkets and books in a library etc. A KP-tree is created for each indoor partition and allows efficient retrieval of relevant objects when the search reaches a particular partition. Each indoor partition in the IVIP-tree is linked to its KP-tree. Our experimental study shows that the KP-Trees further improve the performance of IVIP-Tree by up to an order of magnitude.

## 5.3 Keyword-Partitioning Tree (KP-Tree)

### 5.3.1 Motivation

Recall that the existing techniques map an indoor venue to a graph where a node represents a door or a partition. The indoor graph is then traversed to answer the queries and when the search reaches a partition, the objects in it are retrieved to process the query. Typically, an indoor partition contains a reasonably large number of objects such as products in a supermarket, books in a library or medicines in a pharmacy etc. For example, in the real world data set that we use in our experimental study, a single JB Hi-Fi store (an entertainment retailer in Australia) contains around 30,000 different products. To efficiently answer the queries, once the search reaches an indoor partition, specialized indexes should be

employed to efficiently retrieve the relevant objects in the partition. One possible solution is to use one of the existing approaches (e.g., inverted lists, IR-tree) to index the spatio-textual objects in a partition. However, we note that these techniques have certain limitations for indoor datasets as explained next.

Inverted lists can be utilized to retrieve relevant objects in a partition. Specifically, for each door  $d_i$  of the indoor partition and for each keyword  $t_j$ , an inverted list is created which stores the objects containing  $t_j$  in ascending order of their distances from the door  $d_i$ . Fig. 6 shows an example where the indoor partition contains 12 objects and has only one door  $d_1$ . For each unique keyword ( $t_1$  to  $t_4$ ), an inverted list is created that stores the relevant objects in ascending order of their distances from  $d_1$ . These lists can be used to prune some irrelevant objects. Assume that a query  $q$  is located outside the partition where  $q.T = \{t_1, t_4\}$ . Once the search reaches this partition, the inverted lists of  $t_1$  and  $t_4$  can be accessed to find the nearest objects containing both of the keywords. However, many objects in the inverted lists may not contain all query keywords resulting in sub par performance. For example, the closest object containing both keywords is  $o_2$  but this object is located at the end of the inverted lists  $t_1$  and  $t_4$ . In other words, the algorithm needs to access many irrelevant objects before finding the answer.

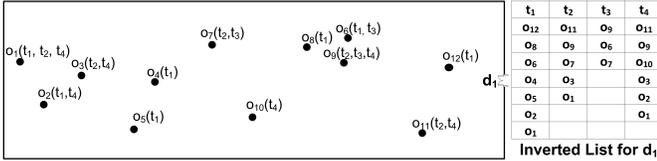


Fig. 6: Inverted List

Another possible approach is to use spatial keyword indexes like IR-Tree [8] for each partition. These indexes typically group spatially close objects into nodes which are further hierarchically grouped into parent nodes until a root node is formed. Each node in the tree contains a summary of all keywords contained in the subtree rooted at this node. During query processing, a node may be pruned if its summary does not contain all query keywords. Since the objects are mainly grouped based on their spatial closeness, the keyword summaries may not be very useful in pruning. This is especially problematic for indoor venues where density of the objects is quite high (a small shelf may have hundreds of different products). In Fig. 6, assume that a node groups the objects  $o_6$ ,  $o_8$  and  $o_9$ . The keyword summary of this node would contain all unique keywords for the partition (i.e.,  $t_1$  to  $t_4$ ) and, as a result, this node (and all of its ancestor nodes) lose pruning effectiveness. We also remark that, in real world data sets, the number of objects that satisfy query keywords is typically small. Therefore, techniques that can efficiently filter the objects satisfying keyword criteria are expected to perform better than the approaches that mainly focus on spatial filtering.

There exist some indexing techniques such as WIR-Tree [29] that aim to index objects based on the keywords similarity instead of spatial closeness of the objects. However, these techniques are adversely affected by an object that contains many keywords. Assume that there exists an object that contains all of the keywords. When this object is grouped with some other objects in a leaf node, the keyword summary of this leaf node (and each of its ancestor node) would contain all of the keywords thus losing the pruning ability for the whole branch.

For the sake of only this example, assume that  $o_1$  in Fig. 6 contains all the keywords  $t_1, t_2, t_3$  and  $t_4$ . Fig. 7(b) shows the corresponding WIR-tree. The object  $o_1$  is grouped with  $o_2$  in the node  $W_1$  which contains all query keywords. Consequently, the

node  $W_1$  and all its ancestor nodes ( $W_3$  and  $W_9$ ) lose pruning ability, i.e., every query would need to traverse these three nodes.

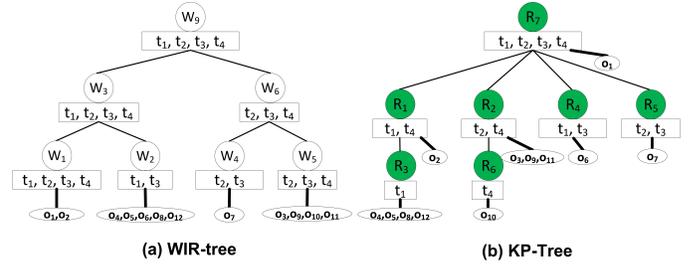


Fig. 7: WIR-tree and KP-Tree for the objects in Fig. 6 except that we assume  $o_1$  contains all four keywords

In this paper, we propose a new index called *Keyword Partitioning Tree* (KP-Tree) to address the limitations described above. The proposed index has two distinct features that help address the limitations: 1) objects are grouped mainly based on their keywords; and 2) unlike most of the existing indexes, objects in KP-Tree are not necessarily indexed at the leaf nodes. Instead, objects having more keywords are likely to be indexed at intermediate nodes higher in the tree structure which addresses the problem with indexes like WIR-Tree. For example, Fig. 7(a) shows KP-Tree for the same example for which WIR-Tree was shown. In the KP-Tree, the object  $o_1$  is indexed at the root node, and as a result, its children nodes do not lose pruning capabilities. We present more details of KP-Tree in the next section. Note that, for the rest of the paper, we use the objects in Fig. 6 as represented and do not assume that  $o_1$  contains all keywords.

### 5.3.2 Overview of KP-Tree

We give a brief overview of KP-Tree and some of its properties before formally describing its construction in next section. Fig. 8 is used as an example to illustrate KP-Tree for the objects in Fig. 6. Each node  $R$  in KP-Tree consists of a list of keywords represented as  $R.T$ . Specifically, for every node  $R$ ,  $R.T$  is the union of the keywords contained in its children. For example,  $R_9.T = R_7.T \cup R_8.T = \{t_1, t_2, t_3, t_4\}$ . In KP-tree, each object  $o$  is attached with a node  $R$  if  $o.T = R.T$ . For example, the object  $o_1$  is associated with the node  $R_2$  because  $R_2.T = o_1.T = \{t_1, t_2, t_4\}$ . Similarly, the objects  $o_2$  is associated with  $R_1$  because it contains  $t_1$  and  $t_4$ . Note that KP-Tree is different from most of the existing tree structures in the sense that the objects may be associated with non-leaf nodes. KP-Tree has two kinds of nodes: *fruitful nodes* (shaded nodes) and *fruitless nodes* (white nodes). A fruitful node is a node that has at least one object attached to it. In contrast, a fruitless node does not have any object attached to it. In Fig. 8,  $R_9$  and  $R_8$  are fruitless nodes and all other nodes are fruitful nodes.

A node in KP-Tree is also linked to its pre-computed object and node matrices. An object matrix records distance from each door  $d$  of the partition to each object  $o$  attached with the node, e.g., see the object matrix for  $R_3$ . A node matrix for a node  $R$  records the *minimum* distance from each door  $d$  to each child node  $R_i$  of  $R$ . The minimum distance  $mindist(d, R_i)$  is the minimum distance from  $d$  to any object contained in the sub-tree rooted at  $R_i$ . Consider the node matrix for  $R_7$  in Fig. 8. The minimum distance from  $d_1$  to  $R_1$  is 5 because the minimum distance from  $d_1$  to the objects in the sub-tree rooted at  $R_1$  (i.e.,  $o_2, o_4, o_5, o_8$  and  $o_{12}$ ) is  $dist(d_1, o_{12}) = 5$ .

The query is processed in a traditional best-first manner using a heap that stores entries according to their minimum distances from  $q$  where distances are obtained utilizing the distance matrices. An entry  $e$  is pruned if  $q.T \not\subseteq e.T$ . For each node retrieved from the

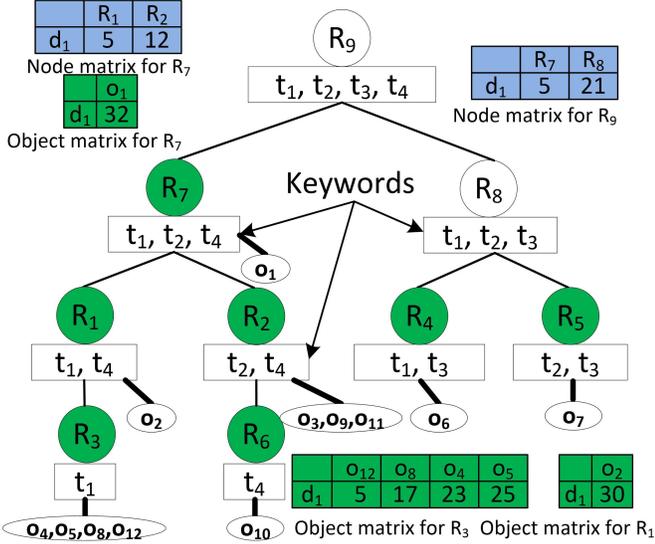


Fig. 8: KP-Tree for the objects in Fig. 6

heap, its children that contain all query keywords are inserted in the heap. Furthermore, if the node is fruitful, the objects associated with it are also inserted in the heap. Consider the query  $q$  in our running example located on the door  $d$  where  $q.T = \{t_1, t_4\}$  and  $k = 1$ . First, the root node  $R_9$  is accessed and its child  $R_7$  is inserted in the heap whereas  $R_8$  is ignored because it does not contain all query keywords. Next,  $R_7$  is accessed and its child  $R_1$  is inserted in the heap with key 5 whereas  $R_2$  is ignored. Furthermore, since  $R_7$  is a fruitful node, its object  $o_1$  is also inserted in the heap with key 32. Next  $R_1$  is accessed and its object  $o_2$  is inserted in the heap with key 30. Its child  $R_3$  is ignored because it does not contain all query keywords. Finally, the object  $o_2$  is retrieved from the heap and is reported as answer.

The above example illustrates how to process a query considering objects in a single partition. In Section 5.4, we present the details of how the VIP-Tree and KP-Tree are utilized to process queries in an indoor venue containing many partitions.

### 5.3.3 Constructing KP-Tree

A KP-Tree is constructed in 4 steps: 1) fruitful nodes are created by grouping the objects having exactly the same keywords; 2) *fruitful subtrees* are constructed using the fruitful nodes; 3) the KP-Tree is constructed using the fruitful subtrees built in the previous step and a *keyword graph*; 4) the distance matrices are constructed for each node. Next, we describe the details of each step.

**1) Constructing fruitful nodes.** In this step, the objects that have exactly the same set of keywords are grouped together to form fruitful nodes. For example, objects  $o_3, o_9$  and  $o_{11}$  have two keywords  $t_2$  and  $t_4$  and they are combined to construct a fruitful node  $R_2$ . Note that there may be fruitful nodes that have exactly one object. E.g.,  $o_1$  is the only object containing  $t_1, t_2$  and  $t_4$  and a fruitful node  $R_7$  is constructed that contains  $o_1$ . In Fig. 8, the shaded nodes are the fruitful nodes.

**2) Constructing fruitful subtrees.** In this step, the fruitful nodes are hierarchically arranged to form possibly more than one subtrees. A fruitful subtree satisfies the property that, for each node  $R$  and its parent node  $R_p$ ,  $R_p$  contains all keywords of  $R$ , i.e.,  $R.T \subset R_p.T$ . Note that  $R.T \neq R_p.T$  because each fruitful node constructed at the previous step is associated with a unique set of keywords. For a node  $R$ , there may be more than one fruitful nodes containing all keywords of  $R$ . These nodes are called potential parents for node  $R$ . Among these potential parents, we choose a node  $R_p$  to be the parent of  $R$  that has the smallest number

of keywords. If two potential parents have the same number of keywords, the node with the smaller number of children is chosen to be the parent. If two nodes have the same number of keywords and children, ties are broken arbitrarily.

In Fig. 8, the potential parents for  $R_3$  are  $R_1, R_4$  and  $R_7$ . The node  $R_7$  has more keywords than  $R_1$  and  $R_4$  and is not considered to be the parent of  $R_3$ .  $R_1$  and  $R_4$  both have exactly two keywords and currently have no child so an arbitrary decision is made and  $R_1$  is chosen to be the parent of  $R_3$ .

#### Algorithm 2: Constructing sub-trees

---

**Input** :  $\mathcal{R}$ : a set of fruitful nodes

- 1 **for** each node  $R \in \mathcal{R}$  in ascending order of # of keywords **do**
- 2     choose a parent node  $R_p$ ;
- 3     **if**  $R_p$  is NULL **then**
- 4         Set  $R$  as the root of its subtree;
- 5     **else**
- 6         set  $R_p$  as the parent of  $R$  in its subtree;

---

Algorithm 2 shows the details of constructing fruitful subtrees using a set of fruitful nodes  $\mathcal{R}$ . The nodes are accessed in ascending order of their number of keywords, i.e., the subtrees are constructed in a bottom-up approach. If there is no potential parent for a node  $R$ , it indicates that this node is the root node for a fruitful subtree. For example, in Fig. 8, there are no potential parents for the nodes  $R_4, R_5$  and  $R_7$  and these nodes correspond to the root nodes for three fruitful nodes. The fruitful subtree rooted at  $R_7$  contains the nodes  $R_1, R_2, R_3$  and  $R_6$ . Note that some fruitful nodes consist of only one node (e.g.,  $R_4$  and  $R_5$ ).

**Remark.** The height of the tallest fruitful subtree is bounded by the length of the longest *superset chain* in the data set. A superset chain is a chain of objects  $\{o_1, \dots, o_m\}$  such that, for each  $1 < i \leq m$ ,  $o_i.T \supset o_{i-1}.T$ , i.e., keyword set of  $i$ -th object is a superset of the keywords of  $(i - 1)$ -th object. In Fig. 6,  $\{o_{10}, o_3, o_1\}$  is a superset chain (see objects under nodes  $R_6, R_2$  and  $R_7$  in Fig. 8). It is easy to see that the maximum height of any fruitful subtree is equal to the number of objects in the longest *superset chain* in the data set (e.g., 3 in Fig. 8). In the worst case, the length of the longest superset chain is equal to the number of objects in the data set. However, in real-world scenarios, the height of the fruitful subtree is small because the real world data sets do not have long superset chains (an implication of Zipf’s law [3]). We confirmed this by using our real world data sets and found that the maximum height of any fruitful subtree is 5.

**3) Constructing KP-Tree using keyword graph.** In this step, the root nodes of the subtrees constructed in the previous step are used to construct KP-tree (e.g., the nodes  $R_4, R_5$  and  $R_7$  are taken as input and a KP-Tree is constructed). KP-Tree is constructed in a top-down approach where each node is split into children such that the overlap (the number of common keywords) between its child nodes is minimized. Let  $X$  be the number of common keywords between two child nodes. Each query that contains these common keywords will need to traverse through both of the child nodes (and possibly branches below them). Thus, a smaller  $X$  is more effective as fewer queries would require traversing through more than one branch. Thus, we aim to minimize the overlap of keywords among children. As noted in [29], optimally dividing the objects in to nodes while minimizing the overlap of the keywords is NP-hard. While there may be other intuitive heuristics possible, in this paper, we use an intuitive and effective heuristic approach based on a *keyword graph* and a graph partitioning algorithm to guide the KP-Tree construction. Next, we describe the details – we use node to refer to an entity in the KP-Tree and vertex to refer to an entity in the keyword graph.

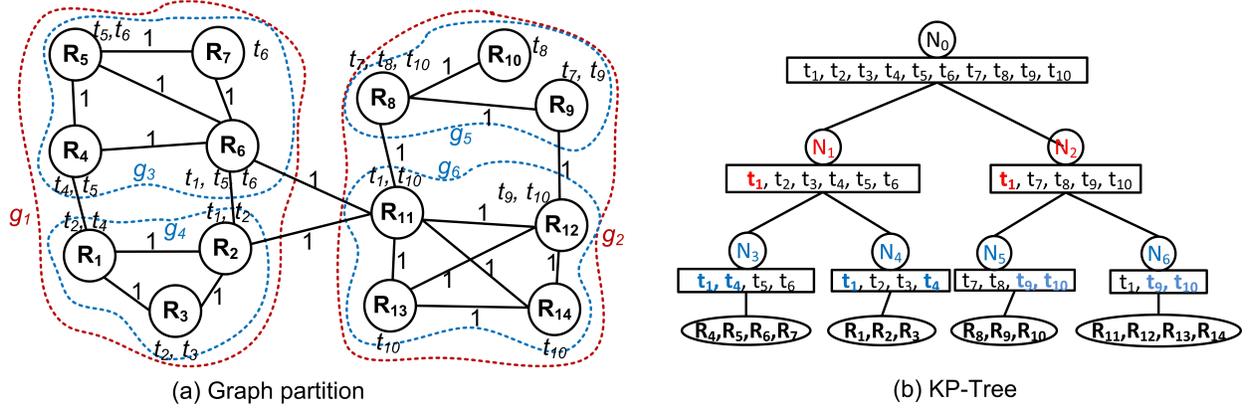


Fig. 9: Constructing KP-Tree using a keyword graph

Each root node of the subtrees constructed in the previous step forms a vertex of the keyword graph. Every pair of vertices that have at least one common keyword are connected to each other by an edge where the edge weight is the number of common keywords between the two vertices. If the keyword graph is disconnected, we arbitrarily add edges with weight zero (between disconnected components) to obtain a connected graph. Considering the example Fig. 8 where the root nodes of the subtrees are  $R_4$ ,  $R_5$  and  $R_7$  and these correspond to three vertices in the keyword graph. The vertices corresponding to  $R_4$  and  $R_5$  are connected to each other via an edge with weight 1 because the number of common keywords between  $R_4$  and  $R_7$  is 1. Next, we describe how the keyword graph is used to construct the KP-Tree.

Initially, a root node of the KP-Tree is created which contains all the keywords. A graph partitioning algorithm is used that *cuts* the keyword graph into  $f$  disconnected components where  $f$  is the maximum number of children for each intermediate node of the KP-Tree. Each disconnected component of the keyword graph corresponds to one child node which is associated with all the keywords in this disconnected component. Since the goal is to minimize the overlap of keywords among the child nodes, the graph partitioning algorithm aims at minimizing the total weight of the edges that connect the disconnected components. Each node of the KP-Tree is recursively decomposed using the above procedure until it contains at most  $\alpha$  vertices. Since optimal graph partitioning is NP-Hard, we adopt a famous heuristics algorithm, called the multilevel partitioning algorithm [13] for graph partitioning.

**Example 5.2.** We illustrate the algorithm using an example assuming that the root nodes of the fruitful subtrees at the previous step are  $\{R_1, R_2, \dots, R_{14}\}$ . Fig. 9(a) shows a sample keyword graph. To avoid mixup between nodes in KP-Tree and vertices in the keyword graph, we refer to a node of KP-Tree as  $N_i$  and a vertex in keyword graph as  $R_i$ . The root node  $N_0$  contains all keywords  $(t_1, \dots, t_{10})$ . Assuming  $f = 2$ , the graph is partitioned into two graphs  $g_1$  and  $g_2$  as shown in Fig. 9(a) minimizing the total weight of the edges connecting  $g_1$  and  $g_2$ . The children of  $N_0$  in KP-tree are two nodes ( $N_1$  and  $N_2$ ) obtained using the disconnected components, i.e., the node  $N_1$  corresponds to  $g_1$  and contains all keywords contained in  $g_1$  (keywords  $t_1, \dots, t_6$ ) and the node  $N_2$  corresponds to  $g_2$  and consists of all keywords in  $g_2$  ( $t_1, t_7, t_8, t_9$  and  $t_{10}$ ) – the common keyword  $t_1$  is shown in bold. Next, the children of  $N_1$  are computed by recursively partitioning the graph  $g_1$  into  $g_3$  and  $g_4$ . Similarly, the graph  $g_2$  is partitioned into  $g_5$  and  $g_6$  to obtain the children nodes of  $N_2$ . Note that each  $R_i$  in Fig. 9(b) corresponds to the root node of a fruitful

subtree constructed in the previous step which implies that  $R_i$  is not necessarily a leaf node in KP-Tree.

**4) Constructing object and node matrices.** For each fruitful node  $R$  in KP-Tree, an object matrix is created to store the distances between every door  $d$  of the partition  $P$  and every object  $o$  of the partition. Consider the indoor partition in Fig. 6 which only has one door  $d_1$ . For node  $R_3$  in Fig. 8, the object matrix stores the distances between  $d_1$  and the objects  $o_4, o_5, o_8$  and  $o_{12}$ .

For each non-leaf fruitful and fruitless node  $R$  of the KP-Tree, we also create a node matrix. Specifically, for each non-leaf node  $R$ , the node matrix stores *minimum distance*  $mindist(d, R_i)$  between every door  $d$  of the partition and each child  $R_i$  of  $R$  where  $mindist(d, R_i)$  corresponds to the minimum distance from  $d$  to any object in the subtree rooted at  $R_i$ . In Fig. 8, the object matrix for  $R_7$  stores  $mindist(d_1, R_1) = 5$  because the objects in the tree rooted at  $R_1$  are  $o_2, o_4, o_5, o_8$  and  $o_{12}$  and  $dist(d_1, o_{12}) = 5$  is the smallest distance from  $d$  to these objects. Similarly,  $mindist(d_1, R_2) = 12$  is also stored in the node matrix. We construct the object and node matrices in a bottom-up manner. Thus, the minimum distances from  $d$  to a node  $R_i$  can be efficiently computed using the object and node matrices of the children.

#### 5.3.4 Handling object updates

In this section, we discuss how to update KP-Tree when the objects change their locations (i.e., products are moved to different aisles) or when objects are deleted/added from/to a partition. If the keywords of an object change, we treat it as deleting the object and then adding a new object with updated keywords.

**Handling location update** If the location of an object  $o$  is changed, we update the distance matrix of the fruitful node associated with the object. Specifically, we compute new distances of  $o$  from each door  $d_j$  of the partition and update the relevant entry in the distance matrix for the door  $d_j$ . Then, we iteratively access its parent node and update the distance matrix if the minimum distance has changed. We continue this bottom-up update until the distance matrix of the parent node does not require to be changed (i.e., its minimum distance is not affected by the update).

**Handling object deletion.** To delete an object  $o$  with keywords  $\mathcal{T}$ , we first locate the fruitful node that contains  $o$ . This can be achieved in  $O(1)$  by maintaining an array that records, for each object, the fruitful node that contains it. The object is then deleted from this node and the distance matrix of the node is updated by removing the corresponding entry. Then, the distance matrices of the parent nodes are iteratively updated as required using a bottom-up traversal. If the object is the only object at the fruitful

node, then the fruitful node is deleted and its children are assigned to be the children of its parent.

**Handling object insertion.** To insert an object  $o$  with keywords  $o.\mathcal{T}$ , if a node in KP-Tree with exactly the same keywords exists, we simply add the object to this node and update the distance matrices of this node and its parent nodes as required. Otherwise, we handle the object insertion as follows. We start a top-down traversal from the root of the KP-Tree and continue traversing down a node  $R$  that contains all keywords of the object, i.e.,  $o.\mathcal{T} \subseteq R.\mathcal{T}$ . If there are more than one such nodes  $R$ , we choose a node  $R$  with a smaller subtree below it. The traversal stops at a node  $R$  when none of its child  $R_c$  contains all of the object’s keywords, i.e., for each child  $R_c$  of  $R$ ,  $o.\mathcal{T} \not\subseteq R_c.\mathcal{T}$ . A fruitful node  $R_i$  is created with keywords  $R_i.\mathcal{T}$  set to  $o.\mathcal{T}$  and  $R_i$  becomes a child node of  $R$  (recall  $R$  contains all keywords of  $R_i$ ). Finally, distance matrix of  $R_i$  is computed and the distance matrices of its parent nodes are iteratively updated if required.

## 5.4 Query Processing

We index the indoor venue using IVIP-Tree and, for each indoor partition  $P$ , we create a KP-Tree that indexes the objects inside it. One possible approach to answer an indoor boolean  $k$ NN spatial keyword ( $iBk$ NN-SK) query is to use an algorithm very similar to the traditional branch-and-bound  $k$ NN algorithm except that the nodes of the IVIP-Tree that do not contain all query keywords are ignored and, when the search reaches a partition  $P$ , its KP-Tree is traversed to efficiently retrieve the objects containing all query keywords and updating  $k$ NNs accordingly. However, this approach may be sub optimal as explained below.

Assume a nearest neighbor query  $q$  and two partitions  $P_1$  and  $P_2$  such that  $mindist(q, P_1) < mindist(q, P_2)$ . In this case, the algorithm will first traverse the KP-Tree of  $P_1$  to retrieve the relevant objects from  $P_1$ . Suppose  $P_1$  contains numerous relevant objects but the actual nearest neighbor is in the partition  $P_2$ . The algorithm will first retrieve all relevant objects from  $P_1$  before accessing the partition  $P_2$  and finding the actual nearest neighbor. In this case, a complete traversal of the KP-Tree of  $P_1$  may be un-necessary and traversing it only partially may improve the performance. To achieve this, we propose to use a single min-heap that stores the entries from IVIP-Tree as well as the entries from different KP-Trees to avoid un-necessarily accessing all objects from a partition. We present the details below.

Algorithm 3 shows our proposed algorithm to answer  $iBk$ NN-SK queries. Similar to the traditional  $k$ NN algorithms,  $d^k$  which refers to the distance of current  $k^{th}$ NN is initialized to infinity. A min-heap  $H$  is used to allow accessing the entries of the IVIP-Tree and the KP-Trees in ascending order of their minimum distances from  $q$ . If the de-heaped entry  $N$  is a non-leaf node of the IVIP-Tree, the algorithm inserts every child  $N'$  of  $N$  in the min-heap that contains all query keywords. If the de-heaped entry  $N$  is a leaf node of the IVIP-Tree, for each partition  $P_i$  of this leaf node that contains all query keywords, the algorithm inserts the root  $R_i$  of the KP-Tree of  $P_i$  in the min-heap with  $mindist(q, R_i)$  where  $mindist(q, R_i)$  can be efficiently obtained using node matrices of the node. If the de-heaped entry  $N$  is a node of the KP-Tree for a partition, the algorithm inserts in the min-heap every child  $N'$  of  $N$  that contains all query keywords. Furthermore, if  $N$  is a fruitful node, all the objects associated with  $N$  that contain all query keywords are also inserted in the min-heap. Finally, if the de-heaped entry  $N$  refers to an object, this object is added to the answer set and  $d^k$  is updated accordingly. The algorithm terminates when  $mindist(q, N)$  for a de-heaped node  $N$  is not smaller than  $d^k$ .

---

### Algorithm 3: $iBk$ NN-SK query processing algorithm

---

```

1  $d^k = \infty$ ; /* distance to current  $k^{th}$ NN */;
2 Initialize a heap  $H$  with root of the IVIP-tree;
3 while  $H$  is not empty do
4   de-heap an entry  $N$  from heap;
5   if  $mindist(q, N) \geq d^k$  then
6     return  $k$ NN;
7   if  $N$  is a non-leaf node of IVIP-tree then
8     for each child  $N'$  of  $N$  do
9       if  $q.\mathcal{T} \subseteq N'.\mathcal{T}$  then
10        insert  $N'$  in heap with  $mindist(q, N')$ ;
11  if  $N$  is a leaf node of IVIP-tree then
12    for each partition  $P_i$  in  $N$  do
13       $R_i \leftarrow$  root node of KP-Tree of  $P_i$ ;
14      if  $q.\mathcal{T} \subseteq R_i.\mathcal{T}$  then
15        insert  $R_i$  in heap with  $mindist(q, R_i)$ ;
16  if  $N$  is a node of KP-Tree then
17    for each child  $N'$  of  $N$  do
18      if  $c.\mathcal{T} \subseteq N'.\mathcal{T}$  then
19        insert  $N'$  in heap with  $mindist(N', c)$ ;
20  if  $N$  is a fruitful node then
21    for each object  $o$  associated with it do
22      if  $q.\mathcal{T} \subseteq o.\mathcal{T}$  then
23        insert  $o$  in heap with  $dist(q, o)$ ;
24  if  $N$  is an object then
25    add the object to  $k$ NN and update  $d^k$ ;

```

---

## 6 EXPERIMENTS

All algorithms are implemented in C++ on a PC with 8GB RAM and Intel Core I5 CPU running 64-bit Ubuntu. First, we present the results for shortest distance queries in Section 6.1. Then, in Section 6.2, we evaluate the algorithms for spatial keyword queries. Readers are referred to [25] for the experimental evaluation of other spatial queries such as shortest path,  $k$ NN and range queries.

### 6.1 Shortest Distance Queries

#### 6.1.1 Experimental Settings

**Indoor Space.** We use three real data sets: Melbourne Central Shopping Center, Menzies library building and Clayton Campus. Melbourne Central is a major shopping centre in Melbourne and consists of 297 rooms spread over 7 levels (including ground and lower ground levels). Menzies building is the tallest building at Clayton campus of Monash University consisting of 14 levels (including basement and ground floor) and 1306 rooms. The Clayton data set corresponds to 71 buildings (including multilevel car parks) in Clayton campus of Monash University.

To evaluate the algorithms on even larger data sets, we extend Melbourne Central (denoted as MC), Menzies building (denoted as Men) and Clayton (denoted as CL) by replication. Table 2 gives details of the real indoor venues and the larger replicated venues. For example, MC-2 indicates that a replica of Melbourne Central is placed on top of the original building. CL-2 denotes that each building in the Clayton campus has been replicated to increase its size by two. The replicas are connected with the original buildings by stairs. The number of edges shown in Table 2 corresponds to the total number of edges in the D2D graph for each indoor space. **Competitors.** We compare our proposed indexes (IP-Tree and VIP-Tree) with the following competitors.

TABLE 2: Indoor venues used in experiments

| Datasets | Description       | # doors | # rooms | # edges    |
|----------|-------------------|---------|---------|------------|
| MC       | Melbourne Central | 299     | 297     | 8,466      |
| MC-2     | 2 times MC        | 600     | 597     | 16,933     |
| Men      | Menzies building  | 1,368   | 1,306   | 56,035     |
| Men-2    | 2 times Men       | 2,738   | 2,613   | 112,114    |
| CL       | Clayton Campus    | 41,392  | 41,100  | 6,700,272  |
| CL-2     | 2 times CL        | 83,138  | 82,540  | 13,400,884 |

*Distance Matrix (DistMx)*. This technique uses a distance matrix that materializes distances between all pairs of doors requiring  $O(D^2)$  space.

*Distance-aware model (DistAw) [19]*. Distance-aware is the state-of-the-art indoor query processing index which uses an extended graph based on the accessibility base graph.

*ROAD [16] and G-tree [37]*. We also compare our algorithms with the state-of-the-art indexes for spatial query processing on road networks (G-tree and ROAD). These indexes are constructed by passing the D2D graph as input and the query processing algorithms are adapted to suit indoor query processing. For each indoor venue, we experimentally choose the best value for the parameter  $\tau$  in G-tree.

*IP-Tree and VIP-Tree*. We evaluate shortest distance algorithms using both IP-Tree and VIP-Tree. For both indexes, we experimentally choose the value of minimum degree  $t$  (see Algorithm 1) and find that the best performance is achieved for  $t = 2$ . Although the results are not shown due to the space limitations, we also found that the average number of access doors is less than 4 for all data sets and the maximum number of access doors is around 8. This provides an insight on why our indexes perform exceptionally well for indoor spaces.

6.1.2 Query Performance

Fig. 10a compares the performance of all techniques for shortest distance queries. 10,000 pairs of source and target points are randomly generated in the indoor space. The results show the average cost for a single shortest distance query. Since DistMx returns distance between any two doors in the graph in  $O(1)$ , it gives the best performance. However, VIP-Tree provides a comparable performance despite its significantly smaller index size. VIP-Tree significantly outperforms IP-Tree at the expense of a slightly higher indexing cost. Both VIP-Tree and IP-Tree outperform the other three techniques by several orders of magnitude, e.g., for CL-2 data set, VIP-Tree processes a shortest distance query in around 10 microseconds as compared to ROAD and G-tree that take almost one second to answer a single shortest path query.

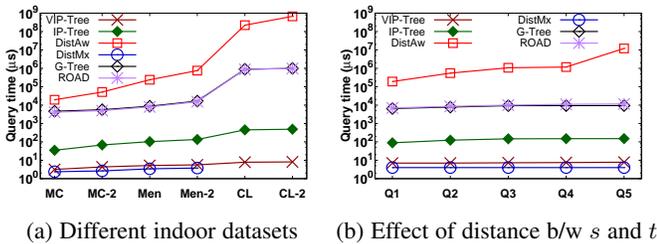


Fig. 10: Shortest Distance Queries

Next, we evaluate the effect of the distance between  $s$  and  $t$  on the performance of different algorithms. We use Men-2 to demonstrate the results because this is the largest data set for which DistMx can be built. Let  $d_{max}$  be the maximum distance between any two points in Men-2 building. We divide the distance range  $[0, d_{max}]$  into five intervals ( $Q1$  to  $Q5$ ) of equal length  $l = d_{max}/5$ , e.g.,  $Q1 = [0, l]$ ,  $Q2 = [l, 2l]$ ,  $\dots$ ,  $Q5 = [4l, 5l]$ . We then randomly generate source and target points and allocate

them to relevant  $Q_i$  based on the distances between them. Hence, the pairs of source and target points corresponding to  $Q1$  have the smallest distances (within range  $[0, l]$ ) and the pairs in  $Q5$  have largest distances  $[4l, 5l]$ .

Fig. 10b shows the effect of distances on the performance of different algorithms. The cost of DistAw increases by almost two orders of magnitude as the distance increases. The cost for IP-Tree slightly increases from  $Q1$  to  $Q3$  because the lowest common ancestor is at a higher level when source and target are further from each other. This requires visiting more levels of the tree resulting in an increased cost. However, the cost does not increase further for  $Q4$  and  $Q5$  because, in most of the cases for  $Q3$ , the lowest common ancestor is already the root node. A similar behavior can be observed for G-tree and ROAD. The effect of distance is negligible on DistMx and VIP-Tree because these algorithms require retrieving relevant entries from the distance matrices which is independent on the distances between the source and target points.

6.2 Indoor Boolean kNN Spatial Keyword Queries

6.2.1 Experimental Settings

**Indoor Venue and Keyword Datasets.** We use Chadstone Shopping Centre as the indoor venue. Chadstone Shopping Centre is the largest shopping centre in Australia with total retail floor area over 200,000  $m^2$  and consists of around 530 stores across 4 levels. We obtained the floor plans of Chadstone Shopping Centre and manually converted them to machine readable indoor venues. To get the object datasets, we choose 11 stores (2 technology stores, 2 supermarkets, 3 home accessories stores, 2 pharmacies and 2 liquor stores) and extract the keywords related to the products from their websites. The details for the object sets for each store are shown in Table 3.

TABLE 3: Details of Stores

| Category         | Store Name             | # unique products | # unique keywords |
|------------------|------------------------|-------------------|-------------------|
| Technology       | EBGames (EB)           | 12,848            | 8,432             |
|                  | JB Hi-Fi (JB)          | 28,980            | 22,551            |
| Supermarket      | Woolworths (WO)        | 11,632            | 8,641             |
|                  | Coles (CO)             | 19,079            | 9,991             |
| Home Accessories | Target (TA)            | 5,866             | 5,285             |
|                  | Harris Scarf (HA)      | 5,307             | 6,793             |
|                  | BigW (BI)              | 21,682            | 16,329            |
| Liquor           | Liquorland (LI)        | 1,397             | 1,382             |
|                  | Dan Murphy's (DA)      | 14,364            | 9,586             |
| Pharmacy         | Amcal (AM)             | 7,603             | 5,573             |
|                  | Chemist Warehouse (CH) | 11,141            | 7,707             |

We use these stores to obtain several real world object data sets. Table 4 gives the details of the object data sets. The capital letters denote the category of stores used in the data set. For example, the data set TS refers to the data set that contains all Technology stores (i.e., EB Games and JB Hi-Fi) and all Supermarkets (i.e., Coles and Woolworths). The default data set, TSHLP, is the biggest data set containing all types of stores and consists of around 140,000 unique products (i.e., objects) across 11 different stores.

TABLE 4: Details of keyword datasets

| Dataset | Vocabulary size | # products |
|---------|-----------------|------------|
| TS      | 35,803          | 72,539     |
| TSH     | 50,056          | 105,394    |
| TSHLP   | 60,014          | 139,899    |

To evaluate our algorithms on larger indoor venues, we use Monash University Clayton Campus as the indoor venue and, for

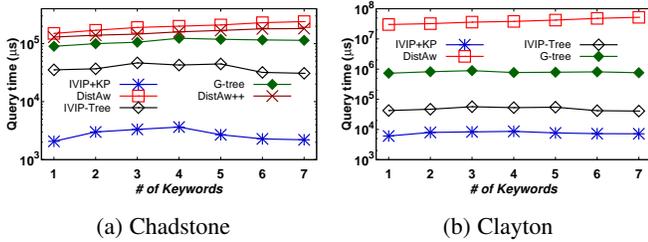


Fig. 11: Effect of # keywords

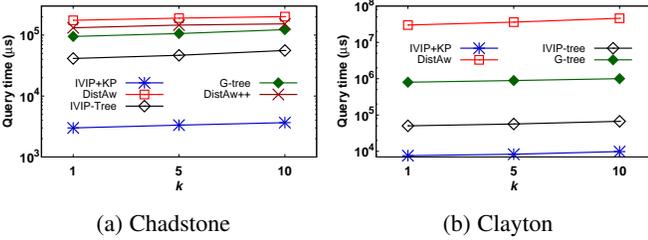


Fig. 12: Effect of  $k$

each of the object datasets in Table 4, the stores are allocated to different indoor partitions in the indoor venues in Clayton campus. **Queries.** Queries are generated using the same approach as in [7]. Specifically, we first randomly choose an object from the dataset and treat its location as the query location. Then, we randomly choose a specified number of words from the object as the query keywords. If the total number of objects that contain these query keywords is less than 10, we ignore this query and repeat the process by randomly choosing another object and keywords from it. This is to ensure that each  $iBkNN$ -SK query returns at least  $k$  objects. The value of  $k$  varies from 1 to 10 with the default value set to be 5. The default objects dataset is TSHLP and the default number of keywords is set to be 3. For each experiment, we run 100 queries generated as described above and report the average query processing cost.

The indoor spatial keyword query processing techniques rely on two types of indexes: a venue-level index (e.g., IVIP-Tree) that contains keyword summaries at each node and allows efficient pruning of irrelevant areas of the indoor venue; and a partition-specific index (e.g., KP-Tree) which is built for each indoor partition containing objects and allows efficiently obtaining the relevant objects in the partition. We evaluate our venue-level index and partition-specific index separately to clearly demonstrate the improvement made by each index. Specifically, in Section 6.2.2, we demonstrate superiority of IVIP-Tree compared to other venue-level indexes assuming that all indexes use the same partition-specific indexes. Then, in Section 6.2.3, we compare our partition-specific index, KP-Tree, with other partition-specific indexes assuming that all techniques use the same venue-level index (IVIP-Tree).

### 6.2.2 Evaluating Venue-Level Indexes

**Competitors.** In this section, we compare the following venue-level indexing techniques assuming that each index including IVIP-Tree indexes the objects in each indoor partition using inverted lists.

**DistAw [19].** As described before, DistAw utilizes the AB graph of the indoor venue and keywords information is embedded for each partition.

**DistA++.** This is the same as DistAw except that, to accelerate distance computations, a distance matrix is used to compute the distances between any two doors in the indoor venue.

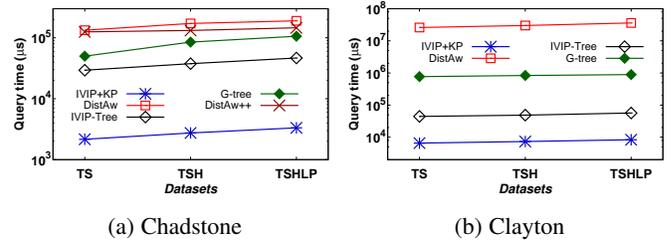


Fig. 13: Effect of object data sets

**G-tree [36].** We also compare our algorithm with the state-of-the-art technique for query processing in road network (G-tree). G-tree is built on the D2D graph converted from the indoor venue. G-tree is extended to handle spatial keyword queries by storing summaries of keywords with each node.

**IVIP-Tree** IVIP-Tree is our venue-level index which, like other competitors discussed above, uses inverted lists for each indoor partition.

**IVIP-Tree + KP-Tree** We also show the performance of IVIP-Tree when it uses KP-Tree to index the objects in each partition. This is shown as IVIP+KP in the figures.

We do not show the results for the construction cost of the venue-level indexes because these are similar to the construction cost shown for spatial queries in the previous section.

**Results.** Fig. 11, 12 and 13 show the experimental results for different number of keywords, varying  $k$  and different object data sets for both indoor venues: Chadstone Shopping Center and Monash University Clayton Campus. Our venue-level index, IVIP-Tree, significantly outperforms other venue level indexes. When KP-Tree is used for indexing the objects in every partition (i.e., IVIP+KP), our technique outperforms all other methods by at least one order of magnitude. This shows the effectiveness of our venue-level index IVIP-Tree as well as our partition-specific index KP-Tree. Note that DistA++ is only available for smaller indoor venues due to the  $O(D^2)$  construction time and storage requirement for the distance matrix. Therefore, results for DistA++ are not shown for the Clayton data set.

Fig. 11a shows that the querying cost of our techniques increases when the number of keywords is increased from 1 to 4 and the cost decreases when the number of keywords is further increased from 4 to 7. This is because, as the number of keyword increases, more nodes of indexes can be pruned as fewer nodes contain all query keywords. On the other hand, the distance between query to the objects satisfying keyword criteria also increases resulting in an increased cost. Similar behavior was reported in [7] for some spatial keyword query processing techniques in Euclidean space.

### 6.2.3 Evaluating Partition-Specific Indexes

**Partition-specific indexes.** To evaluate partition-specific indexes, we use IVIP-Tree to index the indoor venue and each competitor uses, for each indoor partition, a certain partition-specific index to index the objects in it. Specifically, we compare *KP-Tree* with *Inverted Lists (IL)*, *IR-tree [8]* and *WIR-tree [29]*. For each approach, we experimentally determined the best values of the parameters used in the index. For KP-Tree, the fanout  $f$  is chosen to be 64 and  $\alpha$  is set to 32.

**Indexing cost.** Fig. 14 compares the construction time and index size for each indexing technique for different stores in our data sets (see Table 3 for the details of each abbreviation). The stores on x-axis are listed in increasing order of the total number of unique products in each store. As expected, inverted lists can be constructed significantly more efficiently as compared to

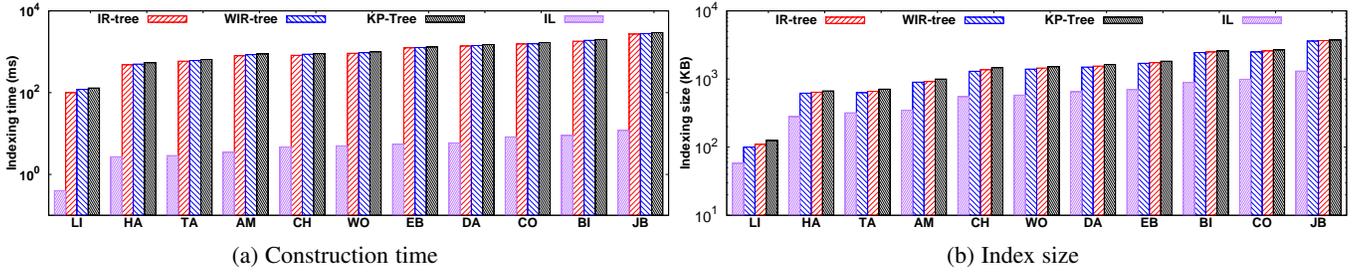


Fig. 14: Indexing Cost

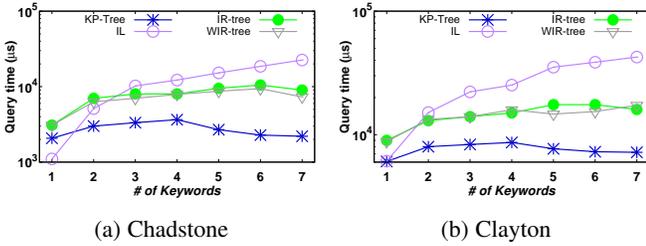


Fig. 15: Effect of # keywords

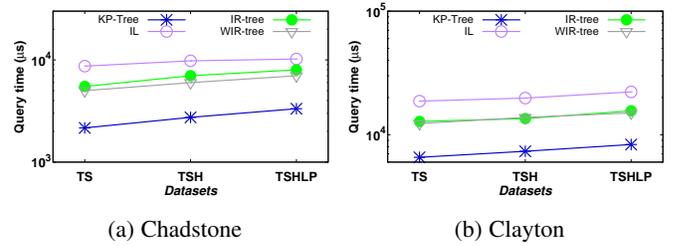


Fig. 17: Effect of object sets

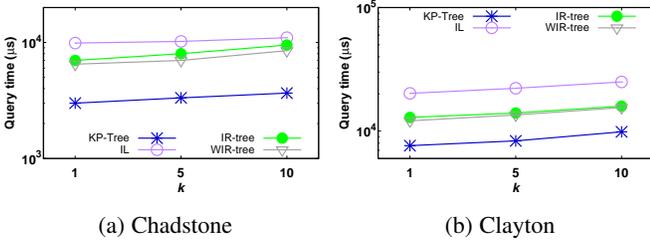


Fig. 16: Effect of  $k$

other approaches because the construction cost mainly consists of sorting each list based on distances of the objects from each door in the partition. The index size of inverted list is also the smallest. The construction time and index size of KP-tree is comparable with other approaches although a little higher. For the biggest store (JB HiFi) containing around 30,000 unique products, KP-tree is constructed in about 4 seconds and the index size is around 5 MB.

**Handling object updates.** We use three largest data sets in terms of the number of unique products and keywords (Coles, BigW and JB Hi-Fi). To generate a location update (resp. object deletion), we randomly choose an object and assign it a new randomly chosen location in the partition (resp. delete the randomly chosen object). For object insertion, we initially create a KP-Tree with 90% of randomly chosen objects in the data set. Then, we insert the remaining 10% objects in it one by one. Table 5 reports the throughput (number of updates that can be handled per second) for each type of update. As expected, location update is the cheapest to handle whereas the object insertion takes more time as it requires finding an appropriate location to insert the object. Note that the number of updates in the real-world applications is typically small and the results show that our techniques can easily handle up to several thousand updates per second.

TABLE 5: Throughput (# of updates handled per second)

|                   | Coles  | BigW   | JB Hi-Fi |
|-------------------|--------|--------|----------|
| Location changes  | 96,711 | 75,244 | 44,802   |
| Object deletions  | 56,561 | 39,808 | 27,344   |
| Object insertions | 6,126  | 5,175  | 4,126    |

**Querying cost.** Fig. 15, 16 and 17 show the querying cost of each approach for different number of keywords, varying  $k$  and different object data sets for both indoor venues: Chadstone Shopping Center and Monash University Clayton Campus. Our proposed partition-specific index, KP-tree, significantly outperforms other partition-specific approaches for all data sets and settings.

Fig. 15 shows the effect of number of keywords on all algorithms. As anticipated, inverted lists (IL) give the best performance when the query consists of only one keyword. This is because it requires only checking one list which is already sorted on distances. However, the performance of IL significantly deteriorates as the number of query keywords increases. The cost of tree based indexes first increases with the increase in number of keywords and then decreases as the number of keywords is further increased. As explained earlier, this is because the number of nodes that can be pruned increases with the increase in number of keywords but, at the same time, the distances to the  $k$  nearest neighbors also increases which requires accessing more nodes of the indexes.

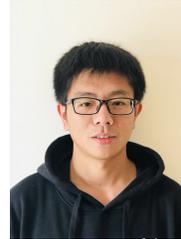
## 7 CONCLUSIONS AND FUTURE WORK

In this paper, we propose two novel indoor indexes, IP-Tree and VIP-Tree, for efficiently processing shortest distance queries. IP-Tree and VIP-Tree have low storage requirement, small pre-processing cost and are highly efficient. Our extensive experimental study on real and synthetic data sets demonstrates that the proposed indexes outperform the existing techniques by several orders of magnitude. For spatial keyword queries, we extended VIP-Tree by embedding keyword information on each node. We also proposed a partition-specific index called KP-Tree that indexes the objects for each indoor partition. The experimental studies demonstrate that our proposed indexes significantly outperform the competitors. An important direction for future work is to study spatial keyword queries considering similarity to the query keywords as well as synonyms and product categories.

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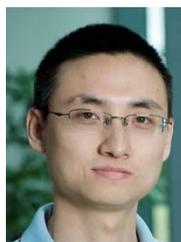
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