

Pseudocodes

1 SIX-Regions

Algorithm 1: SIX-Filtering

```
1 Divide the space around  $q$  in 6 equally sized regions;
2 initialize  $d_i^k \leftarrow \infty$  for each region  $P_i$ ;
3 Insert root of facility R*-tree in a min-heap  $h$ ;
4 while  $h$  is not empty do
5   deheap an entry  $e$ ;
6   if not prunedByRegion( $e$ ) then // see Algorithm 2
7     if  $e$  is an intermediate node or leaf then
8        $\lfloor$  insert every child  $c$  of  $e$  in  $h$  with key  $mindist(q, c)$ ;
9     else
10       $\lfloor$  updateKthNN( $e$ ) // Update  $d^k$  of the relevant region (see
            $\lfloor$  Algorithm 3);
```

Algorithm 2: prunedByRegion(e)

```
Input :  $e$  : the entry to be pruned
1 if  $mindist(e, q) > dmax$  then //  $dmax$  is  $max_{\forall_i}(d_i^k)$ 
2    $\lfloor$  return true;
3 for each region  $P_i$  that  $e$  overlaps with do
4    $\lfloor$  if  $mindist(e, q) < d_i^k$  then
5      $\lfloor$  return false;
6 return true;
```

Algorithm 3: updateKthNN(f)

Input : f the facility to be used to update kth-NN
1 Let P_i be the region that contains f ;
2 Insert f in k NN list of P_i ;
3 Set d_i^k to be the distance between q and k -th NN;
4 Update $dmax$ if required // $dmax$ is $\max_{\forall_i}(d_i^k)$;

Algorithm 4: SIX-Verification

1 Insert root of user R*-tree in a stack h ;
2 **while** h is not empty **do**
3 | pop an entry e from h ;
4 | **if** not prunedByRegion(e) **then** // see Algorithm 2
5 | | **if** e is an intermediate node or leaf **then**
6 | | | insert every child c of e in h ;
7 | | **else**
8 | | | **if** lessThanKinRange(e) **then** // see Algorithm 5
9 | | | | report e as RkNN;

Algorithm 5: lessThanKinRange(p)

1 Insert root of facility R*-tree in a min-heap h ;
2 $counter \leftarrow 0$;
3 $range \leftarrow dist(p, q)$;
4 **while** h is not empty **do**
5 | deheap an entry e ;
6 | **if** $mindist(e, q) > range$ **then**
7 | | continue;
8 | **if** e is an intermediate node or leaf **then**
9 | | insert every child c in h with key $mindist(q, c)$;
10 | **else**
11 | | $counter \leftarrow counter + 1$;
12 | | **if** $counter \geq k$ **then**
13 | | | **return** false;
14 **return** true;

2 TPL

Algorithm 6: TPL-Filtering

```
1 Insert root of facility R*-tree in a min-heap  $h$ ;  
2 Initialize  $S_{rfn} \leftarrow \emptyset$  and  $S_{fil} \leftarrow \emptyset$ ;  
3 while  $h$  is not empty do  
4   deheap an entry  $e$ ;  
5   if  $kTrim(S_{fil}, e) = \infty$  then // see Algorithm 7  
6      $S_{rfn} = S_{rfn} \cup e$ ;  
7     continue;  
8   if  $e$  is an intermediate node or leaf then  
9     for each child  $c$  of  $e$  do  
10      if  $kTrim(S_{fil}, c) = \infty$  then // see Algorithm 7  
11         $S_{rfn} = S_{rfn} \cup c$ ;  
12      else  
13         $c$  insert in  $h$  with key  $mindist(q, c)$ ;  
14    else  
15      Compute Hilbert value of  $e$ ;  
16      Add  $e$  to filtering set  $S_{fil}$  in ascending order of Hilbert values;
```

Algorithm 7: kTrim(S_{fil}, e)

Input : e : entry/rectangle to be trimmed, S_{fil} : the filtering set in sorted order $\{f_1, \dots, f_m\}$

- 1 $e^{tmp} \leftarrow e$;
- 2 **while** true **do**
- 3 **for** $i = 1$ to m **do** // m is the size of S_{fil}
- 4 **for** $j = 0$ to $k - 1$ **do**
- 5 $e^j \leftarrow \text{Trim}(e^{tmp}, f_{i+j})$ // Trim e^{tmp} using f_{i+j} and return trimmed entry to e^j (see Clipping algorithm cited in the paper);
- 6 $e^{tmp} = \cup_{j=1}^k e^j$;
- 7 **if** $e^{tmp} = \emptyset$ **then**
- 8 **return** ∞
- 9 **if** e^{tmp} is unchanged **then**
- 10 **break**;
- 11 **return** $\text{mindist}(e^{tmp}, q)$

Algorithm 8: TPL-Verification

- 1 Insert root of user R*-tree in a stack h ;
- 2 Initialize $S_{cand} \leftarrow \emptyset$;
- 3 **while** h is not empty **do**
- 4 pop an entry e from h ;
- 5 **if** $\text{kTrim}(S_{fil}, e) = \infty$ **then** // see Algorithm 7
- 6 **continue**;
- 7 **if** e is an intermediate node or leaf **then**
- 8 insert every child c of e in h ;
- 9 **else**
- 10 $S_{cand} = S_{cand} \cup e$;
- 11 TPL-Refinement(S_{cand}) // see Algorithm 9;

Algorithm 9: TPL-Refinement(S_{cand})

Input : S_{cand} : candidates set

- 1 **for** each point p in S_{cand} **do**
- 2 $p.counter \leftarrow k$;
- 3 **for** each other point p' in S_{cand} **do**
- 4 **if** $dist(p, p') < dist(p, q)$ **then**
- 5 $p.counter \leftarrow p.counter - 1$;
- 6 **if** $p.counter = 0$ **then**
- 7 remove p from S_{cand} ;
- 8 **else**
- 9 $p.toVisit \leftarrow \emptyset$;
- 10 **while** (true) **do**
- 11 Refinement_Round_TPL($S_{cand}, N_{rfn}, P_{rfn}$) // see Algorithm 10;
- 12 **if** S_{cand} is empty **then**
- 13 **return**
- 14 let N be the lowest level node that appears in the largest number of
 $p.toVisit$ for p in S_{cand} ;
- 15 remove N from all $p.toVisit$;
- 16 $P_{rfn} \leftarrow \emptyset, N_{rfn} \leftarrow \emptyset$;
- 17 **if** N is an intermediate node **then**
- 18 $N_{rfn} \leftarrow$ children of N ;
- 19 **else**
- 20 $P_{rfn} \leftarrow$ children of N ;

Algorithm 10: Refinement_Round_TPL($S_{cand}, N_{rfn}, P_{rfn}$)

```
1 for each point  $p$  in  $S_{cand}$  do
2   for each point  $p'$  in  $P_{rfn}$  do
3     if  $dist(p, p') < dist(p, q)$  then
4        $p.counter \leftarrow p.counter - 1$ ;
5       if  $p.counter = 0$  then
6         remove  $p$  from  $S_{cand}$ ;
7         goto 1;
8   for each node  $N$  in  $N_{rfn}$  do
9     if  $maxdist(p, N) < dist(p, q)$  and  $|N| \geq p.counter$  then //  $|N|$  is
10      number of points in  $N$ 
11      remove  $p$  from  $S_{cand}$ ;
12      goto 1;
13   for each node  $N$  in  $N_{rfn}$  do
14     if  $mindist(p, N) < dist(p, q)$  then
15       Add  $N$  into  $p.toVisit$ ;
16   if  $p.toVisit = \emptyset$  then
17     remove  $p$  from  $S_{cand}$ ;
18     report  $p$  as RkNN;
```

3 TPL++

Algorithm 11: TPL-OPT-Filtering

```

1 Insert root of facility R*-tree in a min-heap  $h$ ;
2 while  $h$  is not empty do
3   deheap an entry  $e$ ;
4   if  $e$  is an intermediate node or leaf then
5     if  $\text{isFiltered}(S_{fil}, e) = \text{false}$  then // see Algorithm 12
6        $S_{rfn} \leftarrow S_{rfn} \cup e$ ;
7       continue;
8     else
9        $\text{insert every child } c \text{ in } h \text{ with key } \text{mindist}(q, c)$ ;
10    else
11       $S_{fil} \leftarrow S_{fil} \cup e$ ;

```

Algorithm 12: $\text{isFiltered}(S_{fil}, e)$

Input : S_{fil} : the filtering set, e : the entry to be filtered
Output : Return true if the entry can be filtered, otherwise return false

```

1 counter  $\leftarrow$  0;
2  $e^{tmp} \leftarrow e$ ;
3 for each facility  $f \in S_{fil}$  do
4   if  $e$  lies completely in  $H_{f:q}$  then
5     counter  $\leftarrow$  counter + 1;
6   else
7      $e^{tmp} = \text{Trim}(e^{tmp}, H_{f:q})$ ;
8     if  $e^{tmp} = \emptyset$  then // if the whole  $e^{tmp}$  is pruned
9       counter  $\leftarrow$  counter + 1;
10       $e^{tmp} \leftarrow e$ 
11  if counter =  $k$  then
12    return true
13 return false

```

Algorithm 13: TPL-OPT-Verification

```
1 Insert root of user R*-tree in a stack  $h$ ;  
2 Initialize  $S_{cand}$  to be empty;  
3 while  $h$  is not empty do  
4   pop an entry  $e$  from  $h$ ;  
5   if  $\text{isFiltered}(S_{fil}, e)$  then // see Algorithm 12  
6      $\lfloor$  continue;  
7   if  $e$  is an intermediate node or leaf then  
8      $\lfloor$  insert every child  $c$  of  $e$  in  $h$ ;  
9   else  
10     $\lfloor$   $S_{cand} \leftarrow S_{cand} \cup e$ ;  
11 TPL-Refinement( $S_{cand}$ ) // see Algorithm 9;
```

4 FINCH

Algorithm 14: FINCH-Filtering

```
1 initialize the convex hull  $CH$  to the whole data space;
2 Insert root of facility  $R^*$ -tree in a min-heap  $h$ ;
3 while  $h$  is not empty do
4   deheap an entry  $e$ ;
5   if overlapsPolygon( $e, CH$ ) then // see Algorithm 16
6     if  $e$  is an intermediate node or leaf then
7       for each child  $c$  of  $e$  do
8         if overlapsPolygon( $c, CH$ ) then // see Algorithm 16
9           insert  $c$  in  $h$  with key  $mindist(q, c)$ ;
10      else
11        UpdateIntersections( $e$ ) // see Algorithm 15;
12         $S_{fil} \leftarrow S_{fil} \cup e$ ;
13         $I_{ch} \leftarrow$  the left most and right most intersection point on each side of
        data space;
14         $I_{ch} \leftarrow I_{ch} \cup \{\text{the intersection points with counter equal to } k - 1\}$ ;
15         $CH \leftarrow$  convex hull of  $I_{ch}$ ;
16        compute the minimal bounding rectangle  $MBR$  and minimum bounding
        circle  $MBC$  of convex hull;
```

Algorithm 15: UpdateIntersections(e)

```
1 for each existing intersection point  $i$  in  $I$  do
2   if  $i$  lies in  $H_{e:q}$  then
3      $i.counter \leftarrow i.counter + 1$ ;
4     if  $i.counter \geq k$  then
5       remove  $i$  from  $I$ ;
6 for each facility  $f$  in  $S_{fil}$  do
7    $i \leftarrow$  intersection point between  $H_{f:q}$  and  $H_{e:q}$ ;
8   if  $i$  lies inside the  $MBC$  of polygon then
9      $i.counter \leftarrow$  number of facilities in  $S_{fil}$  that prune  $i$ ;
10    if  $i.counter < k$  then
11      insert  $i$  in  $I$ ;
```

Algorithm 16: overlapsPolygon(e, P)

```
Input :  $e$ : the entry,  $P$ : the polygon
1 if  $e$  does not overlap with the  $MBC$  of polygon  $P$  then
2   return false ;
3 if  $e$  does not overlap with the  $MBR$  of polygon  $P$  then
4   return false ;
5 if  $e$  is a data point then
6   if  $e$  lies in the polygon  $P$  then
7     return true;;
8 else //  $e$  is a rectangle
9   for each corner point  $c$  of  $e$  do
10    if  $c$  lies in the polygon  $P$  then
11      return true;
12   for each corner  $c$  of the polygon  $P$  do
13     if  $c$  lies inside the rectangle  $e$  then
14       return true;
15   for each edge  $X$  of  $e$  do
16     for each edge  $Y$  of the polygon do
17       if  $X$  intersects  $Y$  then
18         return true;
19 return false;
```

Algorithm 17: FINCH-Verification

```
1 Insert root of user R*-tree in a stack  $h$ ;  
2 while  $h$  is not empty do  
3   pop an entry  $e$  from  $h$ ;  
4   if  $e$  is an intermediate node or leaf then  
5     if  $\text{overlapsPolygon}(e, CH)$  then // see Algorithm 16  
6        $\lfloor$  insert every child  $c$  of  $e$  in  $h$  ;  
7   else  
8     if  $\text{lessThanKinRange}(e)$  then // see Algorithm 5  
9        $\lfloor$  report as RkNN;
```

5 InfZone

Algorithm 18: InfZone-Filtering

```

1  $V \leftarrow$  vertices of the data space;
2  $r_{max} \leftarrow \infty$ ;
3 Insert root of facility R*-tree in a min-heap  $h$ ;
4 while  $h$  is not empty do
5   deheap an entry  $e$ ;
6   if  $\text{prunedByVertices}(e, V, r_{max}) = \text{false}$  then // see Algorithm 19
7     if  $e$  is an intermediate node or leaf then
8        $\lfloor$  insert every child  $c$  in  $h$  with key  $\text{mindist}(q, c)$ ;
9     else
10      UpdateIntersections( $e$ ) // see Algorithm 15;
11       $V \leftarrow$  intersection points with counter equal to  $k - 1$ ;
12       $V \leftarrow V \cup \{\text{intersection points on the boundary of data space}\}$ ;
13       $r_{max} \leftarrow \max_{v \in V}(\text{dist}(q, v))$ ;
14  $V \leftarrow V \cup \{\text{intersection points with counter equal to } k - 2\}$ ;
15 sort vertices in  $V$  according to the angle they make with  $q$ ;
16 connect adjacent vertices in  $V$  to construct the influence zone  $Z_k$ ;

```

Algorithm 19: $\text{prunedByVertices}(e, V, r_{max})$

```

Input :  $e$ : entry to be pruned,  $V$ : the vertices of the influence zone:  $r_{max}$ :
          $\max_{v \in V}(\text{dist}(q, v))$ 
1 if  $\text{mindist}(e, q) > 2 \times r_{max}$  then
2    $\lfloor$  return true;
3 for each vertex  $v \in V$  do
4   if  $\text{mindist}(e, q) < \text{dist}(v, q)$  then
5      $\lfloor$  return false;
6 return true;

```

Algorithm 20: InfZone-Verification

```
1 Insert root of user R*-tree in a stack  $h$ ;  
2 while  $h$  is not empty do  
3   pop an entry  $e$  from  $h$ ;  
4   if overlapsPolygon( $e, Z_k$ ) then // see Algorithm 16  
5     if  $e$  is an intermediate node or leaf then  
6       └ insert every child  $c$  of  $e$  in  $h$  ;  
7     else  
8       └ report  $e$  as RkNN;
```

6 SLICE

Algorithm 21: SLICE-Filtering

```

1 Divide the space around  $q$  in  $t$  equally sized partitions;
2 Insert root of facility R*-tree in a min-heap  $h$ ;
3 while  $h$  is not empty do
4   deheap an entry  $e$ ;
5   if facilityPruned( $e$ ) = false then // see Algorithm 22
6     if  $e$  is an intermediate node or leaf then
7       | insert every child  $c$  in  $h$  with key  $mindist(q, c)$ ;
8     else
9       | pruneSpace( $e$ ) // see Algorithm 23;
10 find  $k$ -th UpperArc for each partition  $P$ ;
11 compute MinLower // MinLower is the minimum lower arc among all
    partitions;
```

Algorithm 22: facilityPruned(e)

```

Input :  $e$  : the entry to be pruned
1 if  $mindist(e, q) > 2 \times MaxUpper$  then
2   | return true;
3 if  $mindist(e, q) < 2 \times MinUpper$  then
4   | return false;
5 compute the angle range  $(\theta_{min}, \theta_{max})$  of  $e$  w.r.t  $q$ ;
6 for each partition  $P$  that lies within the angle range  $(\theta_{min} - 90, \theta_{max} + 90)$  do
7   if  $e$  overlaps with  $P$  then
8     | if  $mindist(e, q, P) < 2 \times r_P^B$  then //  $mindist(e, q, P)$  is  $mindist$  from
9       |  $q$  to the part of  $e$  that lies in  $P$ 
10      | return false;
11   else
12     | if  $mindist(e, A) < r_P^B$  OR  $mindist(e, B) < r_P^B$  then //  $A$  and  $B$  are
13       | the intersection points of arc  $r_P^B$  with boundaries of  $P$ 
14       | return false;
15 return true;
```

Algorithm 23: pruneSpace(f)

```
1 for each partition  $P$  for which  $\min\text{Angle}(f, P) < 90^\circ$  do
2   if  $\max\text{Angle}(f, P) \geq 90^\circ$  then
3      $r_{f:P}^U \leftarrow \infty$ ;
4   else
5      $r_{f:P}^U \leftarrow \frac{\text{dist}(f, q)}{2 \cos(\max\text{Angle}(f, P))}$ ;
6   update upper arc list of  $P$ ;
7   set  $r_P^B$  to the radius of  $k$ -th smallest upper arc of  $P$ ;
8   if  $f$  is a significant facility of  $P$  then
9     insert  $f$  in  $\text{sigList}$  of  $P$  in sorted order of lower arc  $r_{f:P}^L$ ;
    //  $r_{f:P}^L = \frac{\text{dist}(f, q)}{2 \cos(\min\text{Angle}(f, P))}$ 
10 Update  $\text{MaxUpper}$  or  $\text{MinUpper}$  if required;
```

Algorithm 24: SLICE-Verification

```
1 Insert root of user R*-tree in a stack  $h$ ;
2 while  $h$  is not empty do
3   pop an entry  $e$  from  $h$ ;
4   if  $\text{userPruned}(e) = \text{false}$  then // see Algorithm 25
5     if  $e$  is an intermediate node or leaf then
6       insert every child  $c$  of  $e$  in  $h$ ;
7     else
8       if  $\text{isRkNN}(e)$  then // see Algorithm 26
9         report  $e$  as RkNN;
```

Algorithm 25: userPruned(e)

```
Input :  $e$  : the entry to be pruned
1 if  $\text{mindist}(e, q) > \text{MaxUpper}$  then
2   return true;
3 if  $\text{mindist}(e, q) < \text{MinUpper}$  then
4   return false;
5 compute the angle range( $\theta_{\min}, \theta_{\max}$ ) of  $e$  w.r.t  $q$ ;
6 for each partition  $P$  that lies within angle range( $\theta_{\min}, \theta_{\max}$ ) do
7   if  $\text{mindist}(e, q, P) < r_P^B$  then
8     return false;
9 return true;
```

Algorithm 26: isRkNN(u)

Output : Returns true if u is RkNN. Otherwise, returns false.

- 1 **if** $dist(u, q) < MinLower$ **then**
- 2 **└** **return** true;
- 3 Let P be the partition in which u lies;
- 4 **if** $dist(u, q) \leq r_{k:P}^L$ **then** // $r_{k:P}^L$ is the k -th smallest lower arc in P
- 5 **└** **return** true;
- 6 $counter \leftarrow 0$;
- 7 **for** each $f \in sigList$ of P in ascending order of $r_{f:P}^L$ **do**
- 8 **└** **if** $dist(u, q) < r_{f:P}^L$ **then**
- 9 **└** **return** true;
- 10 **└** **if** $dist(u, f) < dist(u, q)$ **then**
- 11 **└** $counter \leftarrow counter + 1$;
- 12 **└** **if** $counter \geq k$ **then**
- 13 **└** **return** false;
- 14 **return** true;
